

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner
 US Department of Commerce
 United States Patent and Trademark
 Office, PCT
 2011 South Clark Place Room
 CP2/5C24
 Arlington, VA 22202
 ETATS-UNIS D'AMERIQUE
 in its capacity as elected Office

Date of mailing (day/month/year) 15 February 2001 (15.02.01)	
International application No. PCT/US00/07962	Applicant's or agent's file reference 325.089-PCT
International filing date (day/month/year) 23 March 2000 (23.03.00)	Priority date (day/month/year) 25 March 1999 (25.03.99)
Applicant BRAYTON, Dwight et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:
 25 October 2000 (25.10.00)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was
☐ was not

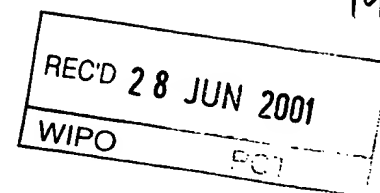
made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Pascal Piriou Telephone No.: (41-22) 338.83.38
---	---

REPLACED BY
ART 34 AMEND

PATENT COOPERATION TREATY

PCT



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 325.089-PCT		FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US00/07962	International filing date (day/month/year) 23 March 2000 (23.03.2000)	Priority date (day/month/year) 25 March 1999 (25.03.1999)	
International Patent Classification (IPC) or national classification and IPC IPC(7): G01R 27/28, 31/00, 31/14 and US Cl.: 702/120			
Applicant FLUOR CORPORATION			
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>3</u> sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of <u>3</u> sheets.</p> <p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none">I <input checked="" type="checkbox"/> Basis of the reportII <input type="checkbox"/> PriorityIII <input type="checkbox"/> Non-establishment of report with regard to novelty, inventive step and industrial applicabilityIV <input type="checkbox"/> Lack of unity of inventionV <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statementVI <input type="checkbox"/> Certain documents citedVII <input type="checkbox"/> Certain defects in the international applicationVIII <input type="checkbox"/> Certain observations on the international application			
Date of submission of the demand 25 October 2000 (25.10.2000)		Date of completion of this report 06 June 2001 (06.06.2001)	
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer MANUEL BARBEE Telephone No. (703) 305-0976 <i>Rene Poudon</i>	

Form PCT/IPEA/409 (cover sheet)(July 1998)

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US00/07962

I. Basis of the report

1. With regard to the elements of the international application:*

- ☐ the international application as originally filed.
- ☒ the description:
pages 1-11 and 13 _____ as originally filed
pages NONE _____, filed with the demand
pages 12 _____, filed with the letter of 03 May 2001 _____.
- ☒ the claims:
pages 16 _____, as originally filed
pages NONE _____, as amended (together with any statement) under Article 19
pages NONE _____, filed with the demand
pages 14-15 _____, filed with the letter of 03 May 2001 _____.
- ☒ the drawings:
pages 1-28 _____, as originally filed
pages NONE _____, filed with the demand
pages NONE _____, filed with the letter of _____.
- ☒ the sequence listing part of the description:
pages NONE _____, as originally filed
pages NONE _____, filed with the demand
pages NONE _____, filed with the letter of _____.

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language _____ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☒ The amendments have resulted in the cancellation of:

- ☒ the description, pages NONE
- ☒ the claims, Nos. NONE
- ☒ the drawings, sheets/fig NONE

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US00/07962

V. Reas ned statement under Article 35(2) with regard to novelty, inventive step r industrial applicability; citati ns and explanations supporting such statement**1. STATEMENT**

Novelty (N)

Claims 1-13

YES

Claims NONE

NO

Inventive Step (IS)

Claims 2-5, 10-13

YES

Claims 1, 6-9

NO

Industrial Applicability (IA)

Claims 1-13

YES

Claims NONE

NO

2. CITATIONS AND EXPLANATIONS (Rule 70.7)

Claims 1 lacks inventive step under PCT Article 33(3) as being obvious over Conradi (4,692,298). Conradi teaches providing a control system to be tested, the control system having a controller-I/O communication channel and field I/O connectors. Conradi teaches an analog process control system with a channel allowing information to be transmitted and received by the control system (col. 2, line 64 - col. 3, line 17). Conardi teaches providing a test system to the control system in a manner which allows the test system to communicate with and drive the control system by sending and receiving signals via both the controller-I/O communication channel and the field I/O connectors. Conradi teaches an analysis apparatus that communicates over the channel test signals and reads the response of the control system (col. 3, lines 12-49). Conradi does not teach communication between the test system and field I/O connectors. It would have been obvious to modify the test system taught by Conradi to communicate with field I/O connectors because then control system response to signals on these I/O connectors could be tested.

Claims 6-9 lack an inventive step under PCT Article 33(3) as being obvious over Miller et al. (5,079,731). Miller teaches providing a control system to be tested, the control system having a controller-I/O communication channel and field I/O connectors. Miller teaches a process control computer with process input/output hardware (Fig. 1, computer 12, hardware 16; col. 2, lines 54-61). miller teaches providing a test system to the control system in a manner which allows the test system to communicate with and drive the control system by sending and receiving signals via both the controller-I/O communication channel and the field I/O connectors. Miller teach a simulation computer with simulator input output hardware in communication with the process control input/ou.put hardware (Fig. 1, simulator computer 18, simulator hardware 24; col. 2, lines 62-66). The simulator simulates inputs and outputs of a system that the process control computer would monitor and tests the response of the controller (col. 3, lines 9-50; Fig. 2; col. 4, lines 27-39). Miller does not teach coupling the test system to field I/O connectors. It would have been obvious to modify the test system taught by Miller to communicate with field I/O connectors because then control system response to signals on these I/O connectors could be tested.

Claims 1 and 6-9 meet the criteria set out in PCT Article 33(4), because the process control validation would allow process controllers to more accurately control process such as power plants and chemical manufacturing.

Claims 2-5 and 10-13 the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest utilizing a portion of the test system to validate the operation of a control system. The prior art does not teach or fairly suggest providing a simulator coupled to the control system at three points including a sensor input port, an actuator output port and a point from which data relating to the internal state of the controller can be obtained. The prior art does not teach or fairly suggest a plant sensor and actuator simulator with a configurator for entering and maintaining databases containing information on test requirements for the control system. The prior art does not teach or fairly suggest a method for training process operators that includes a plant with a human machine interface to a control system that is coupled to a simulator.

----- NEW CITATIONS -----

NONE

Once off-site/lab testing is complete, the SIMCart configurator/HMI 130 is detached from the SIMCart and target CS 20 and taken to the field to be used onsite to test target CS 20 once it is installed in the drug production facility. In the field, the SIMCart configurator provides a field tester with a series of tests related to field I/O and wiring connections. For some tests, the field tester is prompted by the SIMCart configurator to instruct a technician, possibly via cell-phone, to input signals to the system which will be passed to target CS 20 via the field wiring. The SIMCart configurator 130 then prompts the field tester to enter responses answering questions related to the feedback HMI 230 of target CS 20 provided to the tester in response to the technician's inputs. All test questions and results are stored so as to allow a report of test results to be generated.

Second Example Application

Once test target CS 20 is installed in the drug production facility, SIMCart 10 can periodically be used to train process operators. Such training can be done on site, using the target CS 20 HMI by simply disconnecting target CS 20 from the plant's sensors and actuators, probably by disconnecting the field wiring, and connecting SIMCart 10 to target CS 20 in place of the sensors and actuators. Once target CS 20 is operating with simulated I/O rather than live sensors and actuators, operators are free to interact with target CS 20 without any fear of consequences to the plant. When used in such a manner, the test procedures used to validate target CS 20 may be used in training operators, or else SIMCart 20 may incorporate specific software and/or data for training operators. Such software and/or data may incorporate multi-media presentations such as training videos. SIMCart 20 may also work partially or completely independently of the operator so as to simulate events, track operator responses to the simulated events, and/or report on the quality of the operator's responses, without the operator having to interact with SIMCart 20 during training.

Thus, specific embodiments and applications of devices and methods for plant control system testing have been disclosed. It should be apparent, however, to those skilled in the art that many more modifications besides those already described (including the methods shown in Figures 8-15) are possible without departing from the inventive concepts herein. The inventive subject matter, therefore, is not to be restricted except in the spirit of the appended claims. Moreover, in interpreting both the specification and the claims, all terms should be

CLAIMS

What is claimed is:

1. A method of testing a plant control system comprising:

providing a control system to be tested, the control system having a controller-I/O communication channel and field I/O connectors;

providing a test system;

coupling the test system to the control system in a manner which allows the test system to communicate with and drive the control system by sending and receiving signals via both the controller-I/O communication channel and the field I/O connectors.
2. The method of claim 1 further comprising:

decoupling the test system from the control system;

at least partially installing the control system in a plant to be controlled;

utilizing at least a portion of the test system to validate the operation of the control system.
3. The method of claim 2 wherein validation of the control system involves coupling an I/O portion of the test system in place of at least some of the plant's sensors and actuators.
4. The method of claim 2 wherein validation is accomplished by the test system utilizing at least a portion of the test system to validate the operation of the control system by having the test system provide instructions and questions to one or more plant operators thereby instructing them to perform various operations and prompting them to enter a description as to how the control system responded to the operations, and recording any operator entered descriptions.

5. The method of claim 4 further comprising a step of causing the test system to generate a report indicating which operations were performed and what the control system response was for any operations performed.
6. A method for testing a plant control system comprising:

providing a plant control system to be tested, the control system comprising

a controller,

a sensor input port,

an actuator output port,

a series of communication segments communicatively coupling the sensor input port to the controller such that an input signal applied to the sensor input results in one or more signals traveling along each of the communication segments so as to notify the controller of the applied input signal, and

a series of communication segments communicatively coupling the controller to the actuator output port such that a control signal generated by the controller results in an output signal being applied to the actuator output port;

providing a simulator, the simulator coupled to the control system at at least three points, wherein a first point is the sensor input port, a second point is a point from which data relating to the internal state of the controller can be obtained, and a third point is the actuator output port;

causing the simulator to apply a signal to the first point and to subsequently

obtain data on the internal state of the controller and to monitor the actuator output port for any applied signals.

7. The method of claim 6 with the control system further comprising an I/O interface wherein coupling the simulator to the control system at the second point involves tying the simulator into a network used as a communication segment between the controller and the I/O interface.
8. The method of claim 7 wherein the I/O interface comprises at least two analog/digital converters with a first, input converter converting an analog input signal applied to the sensor input by the simulator or sensor into a digital signal which is transmitted to the controller; and a second, output converter converting a digital signal received by the I/O interface from the controller into an analog signal which is transmitted to the simulator or actuator.
9. The method of claim 8 wherein the simulator obtains data on the internal state of the controller by establishing a network connection with the controller and requesting that the controller report its internal state.
10. A plant sensor and actuator simulator for use in testing a plant control system comprising:
 - a configurator having a computer and software for entering and maintaining one or more databases including validation requirements and associated test procedures, each test procedure comprising one or more tests, each test comprising at least one signal to be generated by the simulator and transmitted to the control system being tested, and at least one;
 - a plurality of I/O simulator modules detachably coupled to the configurator, at least some of the I/O simulator modules comprising one or more A/D converters;
 - communication channel connectors for communicatively coupling the configurator via a configurator network interface port to a network of which the controller of a control system to be tested is a part;
 - field I/O connectors for coupling the simulator to the field I/O connectors of a control system to be tested.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/07962

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G01R 27/28, 31/00, 31/14

US CL : 702/120

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 702/33, 57-59, 81-84, 90, 91, 108, 113-123, 182-185, 187; 703/20, 21, 24

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4,692,298 (Coradi et al) 08 September 1987 (08.09.1987), column 3, lines 3-49.	1
---		---
Y		6-9
X	US 4,783,370 (Galligan et al) 08 November 1988 (08.11.1988), column 7, lines 3-62.	1-4
X	US 4,941,113 (Dundics et al) 10 July 1990 (10.07.1990), Abstract	1
X	US 5,079,731 (Miller et al) 07 January 1992 (07.01.1992), column 2, lines 54-66; Figure 1.	1
---		---
Y		6-9
A	US 4,187,540 (Davis et al) 05 February 1980 (05.02.1980), See entire document.	1-13
A	US 4,340,935 (Anlauf et al) 20 July 1982 (20.07.1982), See entire document.	1-13

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T"

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X"

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y"

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&"

document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703)305-3230

Authorized officer

Marc Hoff

Telephone No. 703-305-4900

04 OCT 2000

John H. Perry - Lee
[Signature]

CORRECTED VERSION

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
2 November 2000 (02.11.2000)

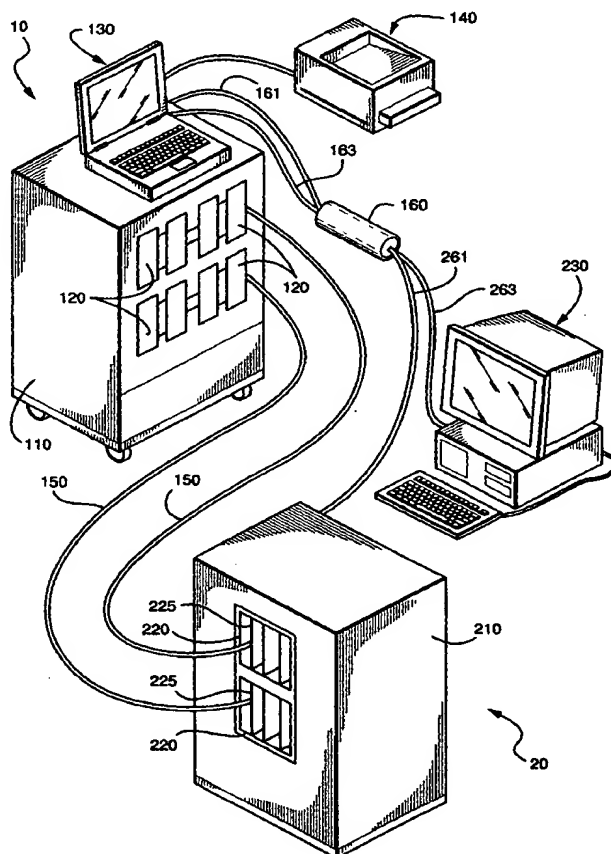
PCT

(10) International Publication Number
WO 00/65361 A1

- (51) International Patent Classification?: **G01R 27/28**, 31/00, 31/14
- (21) International Application Number: PCT/US00/07962
- (22) International Filing Date: 23 March 2000 (23.03.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 60/126,060 25 March 1999 (25.03.1999) US
- (71) Applicant (for all designated States except US): **FLUOR CORPORATION** [US/US]; Legal Services Group, F3K, One Enterprise Drive, Aliso Viejo, CA 92656-2606 (US).
- (72) Inventors; and
(75) Inventors/Applicants (for US only): **BRAYTON, Dwight** [US/US]; 2032 Newhaven Loop, Richland, WA 99352 (US). **ROMERO, Stephen** [US/US]; 4823 Forsythia St. W., Richland, WA 99353 (US). **GHORMLEY, Christopher** [US/US]; 1257 Francisco Apt. 1, Berkley, CA 94702 (US). **DALLAS, Mark** [US/US]; 503 West Kennewick Avenue, Kennewick, Kennewick, WA 99336 (US).
- (74) Agent: **FISH, Robert**; Fish & Associates, LLP, Suite 706, 1440 N. Harbor Boulevard, Fullerton, CA 92835 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM,

[Continued on next page]

(54) Title: SIMULATOR CART



(57) Abstract: A test system (10) is coupled to a control system (20) in a manner which allows the test system to communicate with and drive the control system by sending and receiving signals via both the controller-I/O communication channel (160) and the field I/O connectors (225). The test system (10) is used to both simulate a plant to be controlled and to monitor, validate, and/or modify the internal state of the control system controller and possibly the control system I/O interface (220). Plant simulation is accomplished by simulating the I/O devices to which the control system (20) is coupled (and hence the plant processes) when installed in the operational environment. In addition to the simulation of I/O devices, the test system (10) takes advantage of the fact that many commonly used controller and I/O interfaces are capable of communication with other devices by using such communications ability to provide instructions to or obtain information from a control system's controller(s) and I/O interface(s).

WO 00/65361 A1

RECEIVED
JUL 24 2002
TECHNOLOGY CENTER 2800



HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

Published:

— with international search report

(48) Date of publication of this corrected version:

27 June 2002

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(15) Information about Correction:

see PCT Gazette No. 26/2002 of 27 June 2002, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

SIMULATOR CART

This application claims the benefit of U.S. provisional application number 60/126060 incorporated herein by reference in its entirety.

5 **Field of The Invention**

The field of the invention is test and simulator systems.

Background of The Invention

Control systems incorporating PLCs (Programmable Logic Controllers) and DCSs (Distributed Control Systems) are frequently used to control real world processes by
10 accepting inputs which typically originate from sensors such as, among others, those used to sense temperature, flow, level, radiation, light, movement, and pressure, and those used in generating outputs which are used to drive actuators such as hydraulic devices, valves, lights, and motors. Control systems can often be viewed as having a control component and an interface component, with one or both components having both hardware and software sub-
15 components. Thus a PLC based device might utilize a digital PLC having embedded software as the control component (the "controller"), with an interface component (the "I/O interface") (a) accepting signals from sensors and converting them into a form acceptable to the PLC, and (b) accepting outputs from the PLC and converting them to signals suitable as inputs to the actuators. In such systems, the controller and I/O interface are often connected by one or
20 more paths (the "controller-I/O communication channel) to allow communication and control signals to pass between the controller and the I/O interface. Similarly, the I/O interface is, after the control system is installed in its operating environment, connected via one or more electrical paths (the "field wiring") to the components from which the control system receives its inputs, and to the components to which the control system directs its outputs, with the I/O
25 interface being provided with a plurality of connectors ("field I/O connectors") which facilitate connecting the I/O interface with the field wiring. Many control systems will also incorporate a human-machine-interface (HMI) component comprising hardware and or software for facilitating operator interaction with the control system.

Although methods and devices for testing control systems are known, they generally
30 have individual strengths and weaknesses which make them more appropriate in some

situations and less appropriate in other. Thus, there is a continuing need for improved methods and apparatus for control system testing.

Summary of the Invention

Methods and apparatus are provided which allow control systems to be tested.

5 Specifically, a test system is coupled to a control system in a manner which allows the test system to communicate with and drive the control system by sending and receiving signals via both the controller-I/O communication channel and the field I/O connectors. In essence, the test system is used to both simulate a plant to be controlled and to monitor, validate, and or modify the internal state of the control system controller and possibly the control system
10 I/O interface. Plant simulation is accomplished by simulating the I/O devices to which the control system is coupled (and hence the plant processes) when installed in its operational environment. In addition to the simulation of I/O devices, the test system takes advantage of the fact that many commonly used controller and I/O interfaces are capable of communication with other devices by using such communications ability to provide instructions to or obtain
15 information from a control system's controller(s) and I/O interface(s).

It is contemplated that the methods and apparatus disclosed herein will provide an effective approach to connecting a control system to be tested to simulated I/O devices and controlling and monitoring the simulated I/O devices so as to produce inputs to the control system, to record outputs from the control system, and to compare the recorded outputs
20 against an expected value and record the result of the comparison for reporting purposes.

It is also contemplated that the methods and apparatus disclosed herein will provide an effective approach to the staging and testing of PLC/HMIs (Programmable Logic Controllers/Human Machine Interfaces) and DCSs (Distributed Control Systems) before delivery to the field.

25 It is also contemplated that the methods and apparatus disclosed herein will provide an effective mechanism for validating the operation of a control system once it has been installed in a plant to be controlled.

Various objects, features, aspects and advantages of the present invention will become more apparent from the following detailed description of preferred embodiments of the

invention, along with the accompanying drawings in which like numerals represent like components.

Brief Description of The Drawings

Fig. 1 is a schematic view of a simulator cart embodying the invention.

5 Fig. 2 is an alternative schematic view of the simulator cart of figure 1.

Fig. 3 is a schematic view of a simulator coupled to a plant control system.

Fig. 4A is a diagram of a preferred layout for components on a first side of a cart based simulator.

10 Fig. 4B is a diagram of a preferred layout for components on a second side of the simulator of Fig. 4a

Fig. 5A is a wiring diagram illustrating a preferred method of interconnection for the components of the simulator of Fig. 4A.

Fig. 5B is a wiring diagram illustrating a preferred method of interconnection for the components of the simulator of Fig. 4B.

15 Fig. 6 is a diagram of a preferred layout for components in a "briefcase" based simulator.

Fig. 7 is a screen capture of a simulator HMI screen wherein an operator is being prompted to select which test section to run.

20 Fig. 8 is a screen capture of a simulator HMI screen wherein an operator is being prompted to select whether to run a particular test or an entire test procedure.

Fig. 9 is a screen capture of a simulator HMI screen wherein an operator is being prompted to perform a first test.

Fig. 10 is a screen capture of a simulator HMI screen wherein the operator is being prompted to enter the results of the test of Fig. 9.

Fig. 11 is a screen capture of a simulator HMI screen wherein an operator is being prompted to perform a second test.

Fig. 12 is a diagram of a simulator HMI database table.

Fig. 13 is a diagram of a simulator HMI database table.

5 Fig. 14 is a diagram of a simulator HMI database table.

Fig. 15 is a diagram of a simulator HMI database table.

Fig. 16 is a diagram of a simulator HMI database table.

Fig. 17 is a diagram of a simulator HMI database table.

Fig. 18 is a diagram of a simulator HMI database table.

10 Fig. 19 is a diagram of a first method embodying the invention.

Fig. 20 is a diagram of a second method embodying the invention.

Fig. 21 is a diagram of a third method embodying the invention.

Fig. 22 is a diagram of a fourth method embodying the invention.

Fig. 23 is a diagram of a fifth method embodying the invention.

15 Fig. 24 is a diagram of a sixth method embodying the invention.

Fig. 25 is a diagram of a seventh method embodying the invention.

Detailed Description

Referring to figures 1-3, a simulator cart test system ("SIMCart") 10 is coupled to a control system to be tested ("target CS") 20. SIMCart 10 includes rolling enclosure 110, I/O simulator modules 120, HMI/configurator 130, hardcopy device 140, wiring harnesses 150, and communication channel 160 connections 161 and 163. Target CS 20 includes enclosure 210, I/O interfaces 220, field I/O connectors 225, HMI 230, communication channel 160 connections 261 and 263, and PLC 270. SIMCart 10 uses wiring harnesses 150 to send and

receive I/O signals to and from I/O interfaces 220 so as to simulate the input devices/sensor 50 and output devices/actuators 40 which would be connected via the field wiring 41 and 51 to target CS 20 when target CS 20 is installed. SIMCart 10 uses communications channel 160 to obtain register and status information from I/O interfaces 220, HMI 130 and PLC 270.

5 By monitoring the internal state of and data flow between I/O interfaces 220, HMI 130 and PLC 270, SIMCart 10 is better able to isolate any problems which may occur in target CS 20 during testing as occurring in a particular subsystem of target CS 20. The manner in which SIMCart 10 is coupled to target CS 20 also permits SIMCart 10 to test multiple I/O channels and or subsystems of target CS 20 simultaneously.

10 The SIMCart is automatically initialized by utilizing communications channel 160 to query target CS 20 to obtain information which allows SIMCart 10 to perform automatic initialization and mapping of its input and outputs to those of target CS 20. SIMCart 10 incorporates a knowledge base which includes characteristics of known control system hardware and software components in performing such automatic initialization. It is
15 contemplated that the knowledge base might be extended to include mathematical models of standard components such as sensors and actuators to allow SIMCart 10 to better simulate such components and to allow automatic test generation for target systems which will be coupled to such components. Figures 12-18 show some of the fields and data which might be used in maintaining such a knowledge base.

20 SIMCart 10 is contemplated as being beneficial in the development and off-site testing of target CS 20 by simulating the plant which target CS 20 is intended to control. If the capacity of a single SIMCart 10 is insufficient to simulate an entire plant, multiple SIMCarts 10 can be used to achieve the simulation. Such a combination of SIMCarts 10 may utilize multiple HMI 130 devices, or may share a single HMI 130. SIMCart 10 is also
25 contemplated as being beneficial for off-site training of personnel in the operation of target CS 20 when SIMCart 10 is used in conjunction with target CS 20. Such off-site use of SIMCart 10 is contemplated as providing, among others, complete testing of all target CS 20 inputs and resulting outputs before field installation

On-site testing is also contemplated as benefiting from the use of SIMCart 10, either
30 in its entirety or only its configurator/HMI portion. Once target CS 20 is installed in its

operational environment, configurator 130 can be used as a test and validation tool. The configurator 130 can provide step-by step instructions to a field tester which, if followed, result in a desired level of testing of target CS 20. By doing so, configurator 130 essentially controls the test process through the field tester. By requiring feedback from the field tester upon the completion of various portions of the testing, a test report which includes the tests steps performed and the tester responses can be generated so as to provide assurance that the required level of testing has been completed. Such "controlled" testing thus provides a method of repeatable, validated and verified testing. Configurator 130 can also be used to record the results of such testing and to generate reports in the field which provide feedback as to the current state of target CS 20 and the field wiring to which target CS 20 is coupled.

If the entire SIMCart 10 is used on-site, SIMCart 10 can be interchanged with selected inputs and outputs of target CS 20 so as to allow "live" inputs to cause target CS 20 to control simulated actuator components, or to use simulated inputs to cause target CS 20 to control "live" actuator components, or to mix and match live and simulated inputs and outputs as desired. If configurator 130 is still attached to the rest of SIMCart 10 during testing, and if SIMCart 10 is coupled to target CS 20 during testing, configurator 130 can control the test process both through direct interaction with target CS 20 and through a test operator as previously discussed.

Rolling enclosure 110 of SIMCart 10 is preferred to be sized and dimensioned to house I/O simulator modules 120 and any necessary supporting systems in a manner which facilitates the use of SIMCart 10 and its interconnection with target CS 20.

I/O simulator modules 120 may comprise PLCs or smart I/O systems. The SIMCart 10 of figure 1 utilizes several Sixnet I/O related products including ST-DI-024-16H, ST-PS-024-02N, ST-DO-DC2-16H, ST-AI-20M-16H, ST-AO-20M-08F, ST-DI-120-08U, ST-DO-AC1-08U, and ST-GT-ETH-24P. Details of the preferred Sixnet products are attached to the provisional application as part of its disclosure, said provisional application having previously been incorporated by reference herein. Figures 4A and 4B provide a diagram of a preferred layout of components in SIMCart 10, and Figures 5A and 5B provide corresponding wiring diagrams showing a preferred method for interconnecting the components of SIMCart

10.

An alternative embodiment utilizes a smaller number of components so that a SIMCart type simulator can be fit within a carrying case. Figure 6 provides a diagram of a preferred layout of components in such an embodiment.

HMI/configurator 130 of SIMCart 10 is contemplated as being a general purpose computer such as a laptop PC ("PC"). Portability is particularly desirable as it facilitates the transportation of HMI 130 into the field to support on-site testing of an installed target CS 20. HMI 130 is contemplated as incorporating software which allows testing of alarm responses (including deadbands), loops, and logic, and reporting of HMI readouts, trend graphs, alarms, reports, system requirements, etc. Test results are stored in the form of textual data and time stamped data, graphs, and tester responses to prompted questions.

In a preferred embodiment, HMI 130 includes a multi-tasking operating system, preferably Windows NT™, which is capable of supporting a graphical user interface ("GUI") and a relational database system (preferably Microsoft Access™), as well as software for controlling I/O simulator modules 120, and testing target CS 20. HMI 130 is also preferred to include an Ethernet network interface card for connection to communication channel 160 which is preferred to be an TCP/IP based Ethernet network. It is also preferred that HMI 130 of SIMCart 10 be detachable from the rest of SIMCart 10 to allow it to be used independently as an onsite verification tool.

HMI/configurator 130 provides for downloading test relational databases, initiating tests and capturing tester responses and comments, and generating reports. The test databases include test cases, expected results for test comparison and exception generation. An overall test planner database organizes the sequence of test events. Being as the test databases are derived from the requirements database, the system owner is assured of lock-step configuration control and traceable requirements testing.

It is preferred that configurator 130 utilize a relational database coupled to a GUI to allow entry of and storage of test requirements at a high level, and to provide tools for deriving lower level sets of test instructions from such high level requirements in a manner which maintains a correlation between lower level test sets and higher level requirements. By maintaining such a correlation, changes to high level requirements can be quickly, and

sometimes automatically, incorporated into the lower level test sets. Such lower level test sets will be used to drive and control both automatic and interactive testing of target CS 20. By maintaining a correlation between high level and low level requirements, reports showing the test steps used to verify satisfaction of the high level requirements through performance of the low level test sets can be generated.

It is contemplated that the relational database used by configurator 130 to maintain test requirements and instructions should provide for the specification of: (1) initial values, an operational range, a deadband, and a physical range for each I/O component to be tested to allow the testing of alarms when values are within and outside of such ranges; (2) standardized questions for prompting the operator to perform various functions or to provide responses; (3) sets of instructions and questions for interactive testing (or possibly automatic testing) of the HMI portion of target CS 20; (4) parameters for PID loop testing; (5) parameters for indicator testing; (6) general target system requirements, as well as supporting any other tables required for proper functioning of SIMCart 10. Figure 7 provides a screen capture image of a simulator HMI screen wherein an operator is being prompted to select a test section to run. Figure 8 provides a screen capture image of a simulator HMI screen wherein an operator is being prompted whether to run a particular test or an entire test procedure. Figure 9 provides a screen capture of a simulator HMI screen wherein an operator is being prompted to perform a test. Figure 10 provides a screen capture of a simulator HMI screen wherein an operator is being prompted to enter the result of the test of Figure 9. Figure 11 provides a screen capture of a simulator HMI screen wherein an operator is being prompted to perform another test.

Hardcopy device 140 is preferred to be a printer suitable for connection to HMI 130, and for printing hardcopy of tests, test results, and audit information as well as other reports discussed herein.

Wiring harnesses 150 may take on almost any form so long as they properly transmit I/O signals between SIMCart 10 and target CS 20. However, it is preferred that wiring harnesses 150 be chosen to facilitate the coupling of target CS 20 to SIMCart 10 and the simulation of the field wiring to which target CS 20 will be coupled in its operational environment. It is contemplated that several different harnesses may be included with the

SIMCart 10 with each harness being designed to facilitate the coupling of SIMCart 10 to a particular type of target CS 20. The inclusion of such pre-configured harnesses is contemplated as facilitating the use of SIMCart 10 to test a variety of standard control systems such as Allen-Bradley PLC-5 controllers or Siemens S7 controllers..

5 Communication channel 160 is preferred to be a TCP/IP based Ethernet network and is preferred to be shared between SIMCart 10 and target CS 20 to allow flexible interaction between HMI 130, I/O simulator modules 120, HMI 230, PLC 270, and I/O interface 220. However, it is contemplated that other communication methods such as RS-232 interfaces, token ring networks, FDDI networks, proprietary control busses, etc. may also be used to
10 provide a communication channel between one or more components of SIMCart 10 and target CS 20.

 As previously discussed, the HMI/configurator portion 130 of SIMCart 10 is useful both in the operation of SIMCart 10, but also as a detachable validation and/or training tool. It is contemplated that HMI/configurator 130 comprise the software and one or more
15 databases necessary to allow it to perform its training and/or validation functions. Such functions might include, among others, the ability to control access by requiring an operator to log in, the ability to simulate a particular function and to test the capability of the target CS to respond to a sensor's entire range of input, the ability to prompt the operator to perform operations using the target CS HMI, and automatically performing tests against target CS
20 when such tests do not require manual operations by an operator.

 Thus, a particular configurator embodiment is likely to have the ability to store and run multiple tests wherein each test comprises one or more steps. In running a particular test or sequence of test steps, the configurator will prompt the operator to perform a function on the target CS whenever manual intervention by the operator is required, but will automatically
25 (i.e. without operator action) run through any tests steps which do not require such manual intervention. Thus, to test a pump, the configurator may prompt an operator to turn on the pump, then, after the operator has indicated to the configurator that he has turned on the pump, the configurator will ask a question to verify proper operation of the pump such as "Did the pump indicator light turn on?" which the operator can either respond to affirmatively
30 or negatively. If negatively, the configurator will prompt the operator to enter a comment as

to what response by the target CS was actually seen. During another step, the configurator may generate simulated events to which the target CS has automated responses which can be detected by the configurator and in which case the simulator will simply simulate the events and monitor the target CS's response. As an example, if a power voltage level exceeds a threshold amount the target CS may be required to cut off the source of power. Such a test would be performed by the simulator at the appropriate point in a test sequence by sending an over voltage signal to the target CS and monitoring the response of target CS to see if a power cutoff signal is sent. Test results, whether operator responses or automatically monitored events/values are also stored in one or more databases so that an exceptions report comparing actual target CS responses to expected results can be generated.

It is contemplated that the configurator will comprise a scalable library of test databases and test functions utilizing the test databases to perform the test steps. Utilizing database tables to store tests and test parameters allows a tester to add new tests and/or test scenarios, and also to modify parameters for existing tests. Such scalable libraries and dynamic updates make for a much more robust and flexible system.

Figures 12-18 show some of the fields and data which might be included. The table of Figure 12 is an "Alarm" table and includes, possibly among others, the fields TagName, Initial, LL_Limit, L_Limit, H_Limit, HH_Limit, and Deadband. The table of Figure 13 is an "Alarm G" table and includes, possibly among others, the fields Alm_Ind, QNum, Type, and Question. The table of Figure 14 is an "Indication" table and includes, possibly among others, the fields ID, TagName, Description, EUZero, EUMax, and Eunit. The table of Figure 15 is an "PID Loop" table and includes, possibly among others, the fields PID_Number, Loop_Desc, Process_TagName, Min_EU, Max_EU, and EU_Name_SetPoint_TagName. The table of Figure 16 is an "Section IQ" table and includes, possibly among others, the fields ID, SectionNum, SectionStep, and Instruction. The table of Figure 17 is a "Section List" table and includes, possibly among others, the fields Num and Name. The table of Figure 18 is an "SysReqs" table and includes, possibly among others, the fields ID, Section, Requirement, and Question.

SIMCart 10 is contemplated as being particularly well suited for a number of uses when taken to an operational facility and connected in place of all or part of the field wiring

including but not necessarily limited to: (1) testing existing logic for reaction to new scenarios; (2) testing new logic for operations; (3) training process operators prior to an operational campaign to a) score and validate operator readiness, b) identify deficient training areas, and c) ensure operational readiness as an overall team; (4) training process operators at the operational facility using by plugging SIMCart 10 in in place of the plant's actual sensors and actuators; (4) field trouble shooting of wiring and other field related problems.

First Example Application

An example application of SIMCart 10 is its in revalidating a PLC program used to manufacture a drug wherein the PLC does so by controlling ingredient weighing, heating, cooling, tank level control, pump control, and other portions of the manufacturing process. This example refers to target CS 20 as if it were the system to be re-validated. Revalidation of the PLC program of target CS 20 would involve first using the SIMCart 10 to perform off-site testing of target CS 20, and then detaching the HMI/Configurator from the rest of SIMCart 10 and taking it on-site in the field with target CS 20 when target CS 20 is installed at the drug production facility.

During off-site/lab testing, I/O simulation modules 120 are used to provide an input to each of the PLC I/O channels via field I/O connectors 225. The SIMCart automatic initialization routines create a mapping between the target PLC and SIMCart I/O channels. A tester would log into the SIMCart configurator computer/HMI 130 (requiring operators to log in facilitates future auditing). After logging in, test databases are downloaded from a requirements database that was established for target CS 20 at the project start. The test databases are then used to test all functions of the PLC, HMI and network including alarms, loops, and field wiring to satisfy the testing requirement contained in the test databases. Such testing is accomplished via a series of automatic tests, as well as tests which prompt the operator to perform certain actions and to provide responses/inputs to the HMI after performing such actions. The testing of alarms includes the testing of deadbands, and appropriate low-low, low, high, and high-high alarm ranges as well as other types of data deltas. All data can be stored electronically with a date and time stamp as well as with other audit information as necessary.

Once off-site/lab testing is complete, the SIMCart configurator/HMI 130 is detached from the SIMCart and target CS 20 and taken to the field to be used onsite to test target CS 20 once it is installed in the drug production facility. In the field, the SIMCart configurator provides a field tester with a series of tests related to field I/O and wiring connections. For some tests, the field tester is prompted by the SIMCart configurator to instruct a technician, possibly via cell-phone, to input signals to the system which will be passed to target CS 20 via the field wiring. The SIMCart configurator 130 then prompts the field tester to enter responses answering questions related to the feedback HMI 230 of target CS 20 provided to the tester in response to the technician's inputs. All test questions and results are stored so as to allow a report of test results to be generated.

Second Example Application

Once test target CS 20 is installed in the drug production facility, SIMCart 10 can periodically be used to train process operators. Such training can be done on site, using the target CS 20 HMI by simply disconnecting target CS 20 from the plant's sensors and actuators, probably by disconnecting the field wiring, and connecting SIMCart 10 to target CS 20 in place of the sensors and actuators. Once target CS 20 is operating with simulated I/O rather than live sensors and actuators, operators are free to interact with target CS 20 without any fear of consequences to the plant. When used in such a manner, the test procedures used to validate target CS 20 may be used in training operators, or else SIMCart 20 may incorporate specific software and/or data for training operators. Such software and/or data may incorporate multi-media presentations such as training videos. SIMCart 20 may also work partially or completely independently of the operator so as to simulate events, track operator responses to the simulated events, and/or report on the quality of the operator's responses, without the operator having to interact with SIMCart 20 during training.

Thus, specific embodiments and applications of devices and methods for plant control system testing have been disclosed. It should be apparent, however, to those skilled in the art that many more modifications besides those already described (including the methods shown in Figures 8-15) are possible without departing from the inventive concepts herein. The inventive subject matter, therefore, is not to be restricted except in the spirit of the appended claims. Moreover, in interpreting both the specification and the claims, all terms should be

interpreted in the broadest possible manner consistent with the context. In particular, the terms “comprises” and “comprising” should be interpreted as referring to elements, components, or steps in a non-exclusive manner, indicating that the referenced elements, components, or steps may be present, or utilized, or combined with other elements, components, or steps that are not expressly referenced.

CLAIMS

What is claimed is:

1. A method of testing a plant control system comprising:

providing a control system to be tested, the control system having a controller-I/O communication channel and field I/O connectors;

providing a test system;

coupling the test system to the control system in a manner which allows the test system to communicate with and drive the control system by sending and receiving signals via both the controller-I/O communication channel and the field I/O connectors.
2. The method of claim 1 further comprising:

decoupling the test system from the control system;

at least partially installing the control system in a plant to be controlled;

utilizing at least a portion of the test system to validate the operation of the control system.
3. The method of claim 2 wherein validation of the control system involves coupling an I/O portion of the test system in place of at least some of the plant's sensors and actuators.
4. The method of claim 2 wherein validation is accomplished by the test system utilizing at least a portion of the test system to validate the operation of the control system by having the test system provide instructions and questions to one or more plant operators thereby instructing them to perform various operations and prompting them to enter a description as to how the control system responded to the operations, and recording any operator entered descriptions.

5. The method of claim 4 further comprising a step of causing the test system to generate a report indicating which operations were performed and what the control system response was for any operations performed.
6. A method for testing a plant control system comprising:

providing a plant control system to be tested, the control system comprising

a controller,

a sensor input port,

an actuator output port,

a series of communication segments communicatively coupling the sensor input port to the controller such that an input signal applied to the sensor input results in one or more signals traveling along each of the communication segments so as to notify the controller of the applied input signal, and

a series of communication segments communicatively coupling the controller to the actuator output port such that a control signal generated by the controller results in an output signal being applied to the actuator output port;

providing a simulator, the simulator coupled to the control system at at least three points, wherein a first point is the sensor input port, a second point is a point from which data relating to the internal state of the controller can be obtained, and a third point is the actuator output port;

causing the simulator to apply a signal to the first point and to subsequently

obtain data on the internal state of the controller and to monitor the actuator output port for any applied signals.

7. The method of claim 6 with the control system further comprising an I/O interface wherein coupling the simulator to the control system at the second point involves tying the simulator into a network used as a communication segment between the controller and the I/O interface.
8. The method of claim 7 wherein the I/O interface comprises at least two analog/digital converters with a first, input converter converting an analog input signal applied to the sensor input by the simulator or sensor into a digital signal which is transmitted to the controller; and a second, output converter converting a digital signal received by the I/O interface from the controller into an analog signal which is transmitted to the simulator or actuator.
9. The method of claim 8 wherein the simulator obtains data on the internal state of the controller by establishing a network connection with the controller and requesting that the controller report its internal state.
10. A plant sensor and actuator simulator for use in testing a plant control system comprising:
 - a configurator having a computer and software for entering and maintaining one or more databases including validation requirements and associated test procedures, each test procedure comprising one or more tests, each test comprising at least one signal to be generated by the simulator and transmitted to the control system being tested, and at least one;
 - a plurality of I/O simulator modules detachably coupled to the configurator, at least some of the I/O simulator modules comprising one or more A/D converters;
 - communication channel connectors for communicatively coupling the configurator via a configurator network interface port to a network of which the controller of a control system to be tested is a part;
 - field I/O connectors for coupling the simulator to the field I/O connectors of a control system to be tested.

11. The simulator of claim 10 wherein the configurator databases comprise data on a plurality of plant sensors such that, for each control system sensor input, the configurator identifies the range of signals which can be produced by a sensor and identifies a series of signals to be used in testing the control system response to signals within and without entire range of signals.
12. A method for training process operators comprising:
 - providing a plant comprising a HMI to a control system coupled to plant sensors and actuators and controlling a process;
 - disconnecting all of the sensors and actuators from the control system;
 - connecting a simulator to the control system in place of the sensors and actuators;
 - causing the simulator to simulate various plant events so that the operators can interact with the HMI as if such events were actually occurring.
13. The method of claim 12 wherein the simulator comprises a database of events and preferred responses, monitors operator responses to simulated events by monitoring the control system's internal state and/or outputs, compares the monitored response for a particular event to the preferred response to determine whether the operator succeeded or failed to provide the preferred response, and reporting on the operator's successes and/or failures in responding to the simulated events.

1/62

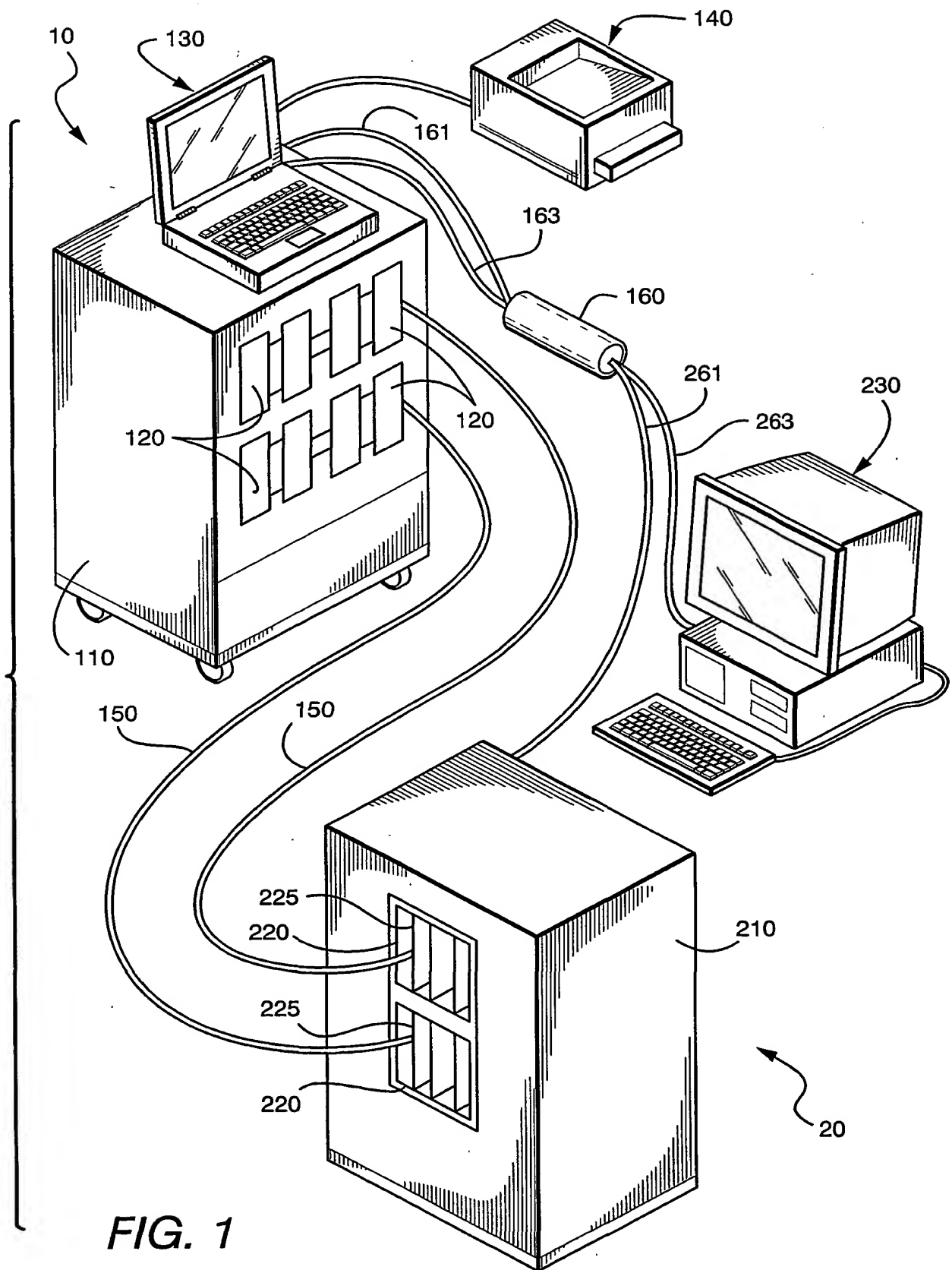


FIG. 1

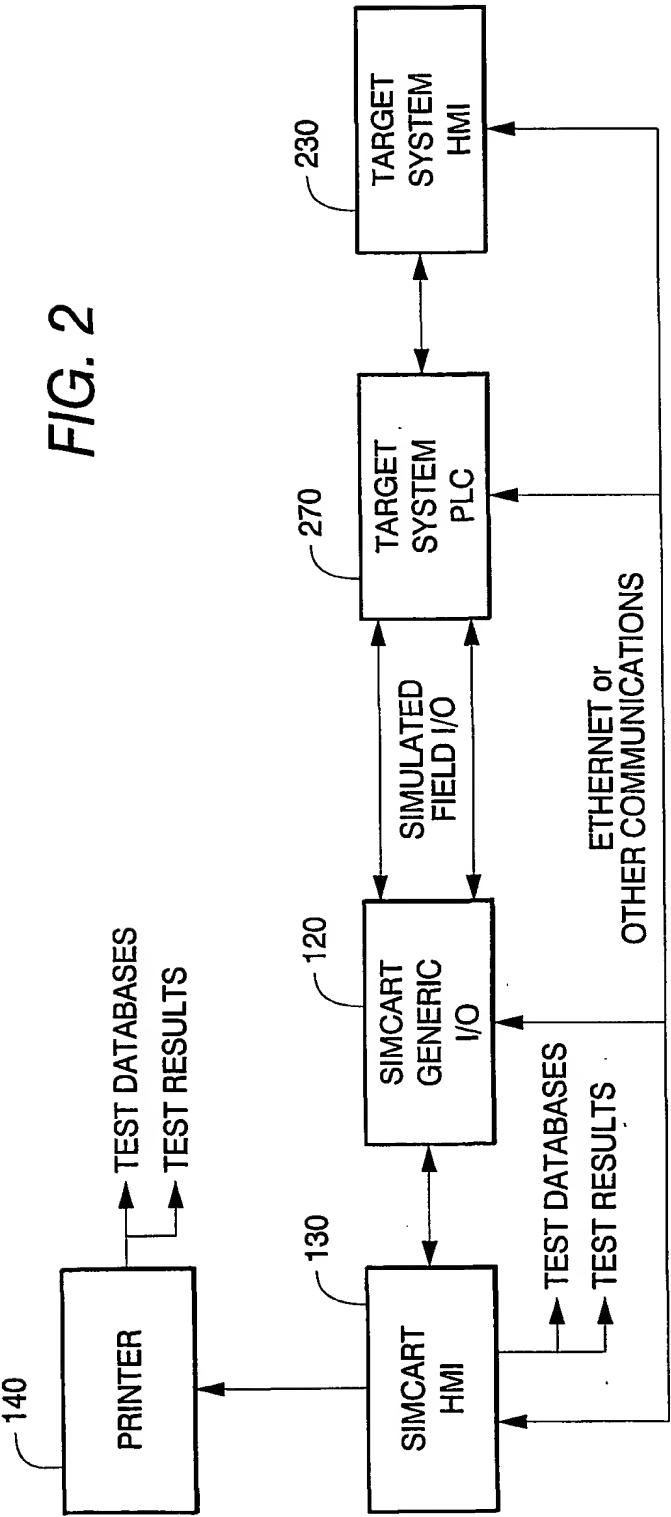
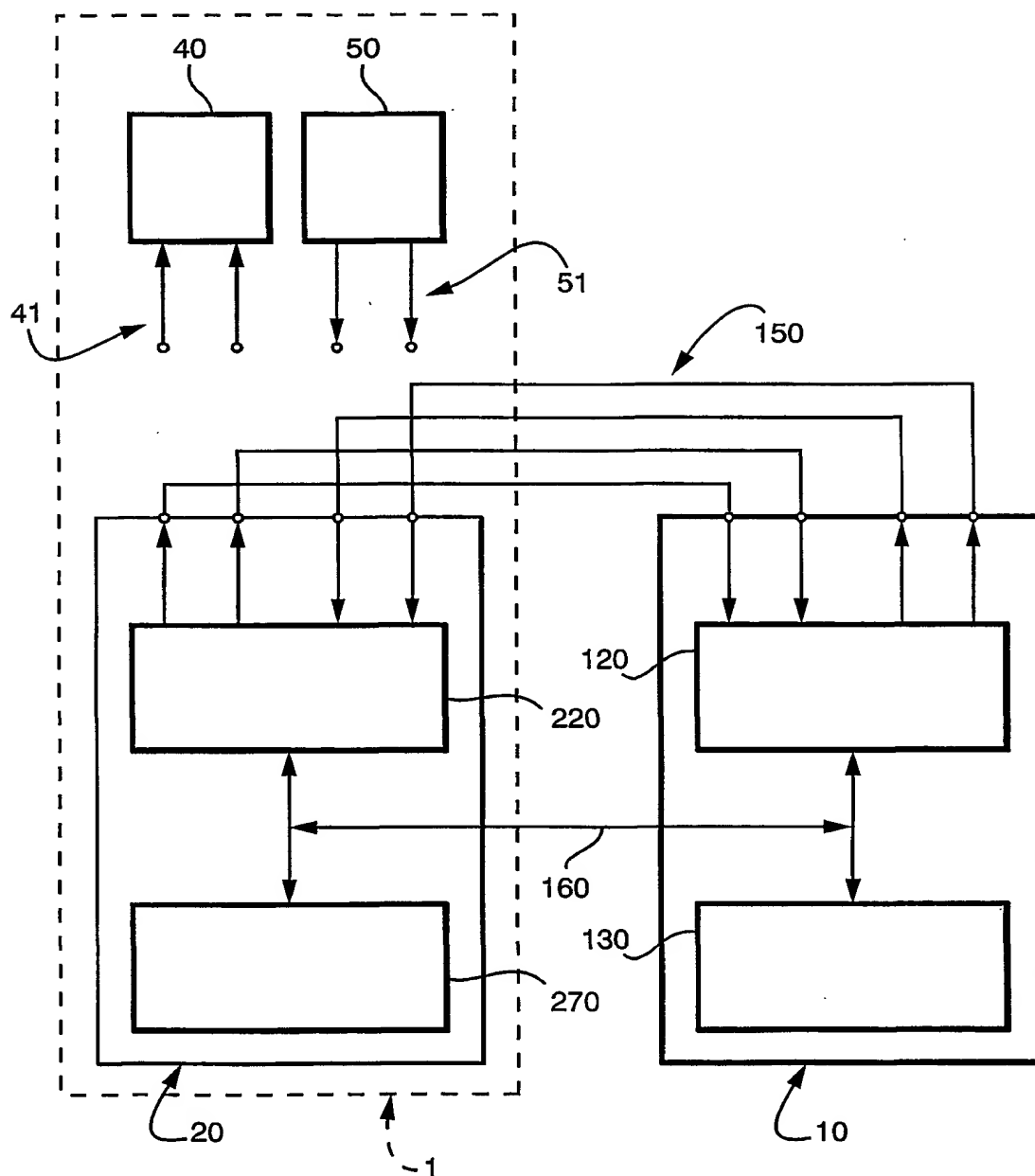


FIG. 3



4/62

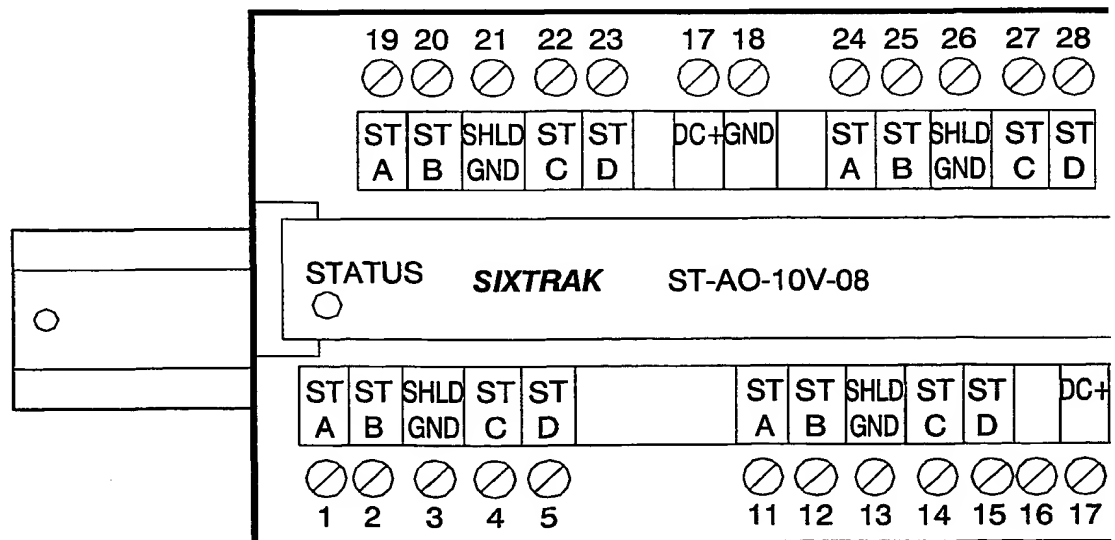
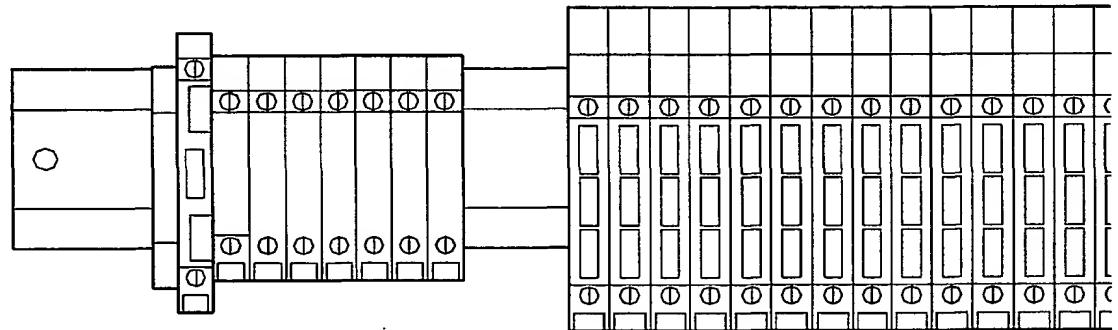
<i>FIG. 4A-1</i>	<i>FIG. 4A-2</i>	<i>FIG. 4A-3</i>	<i>FIG. 4A-4</i>
<i>FIG. 4A-5</i>	<i>FIG. 4A-6</i>	<i>FIG. 4A-7</i>	<i>FIG. 4A-8</i>

*FIG. 4A**FIG. 4B*

<i>FIG. 4B-1</i>	<i>FIG. 4B-2</i>	<i>FIG. 4B-3</i>	<i>FIG. 4B-4</i>
<i>FIG. 4B-5</i>	<i>FIG. 4B-6</i>	<i>FIG. 4B-7</i>	<i>FIG. 4B-8</i>

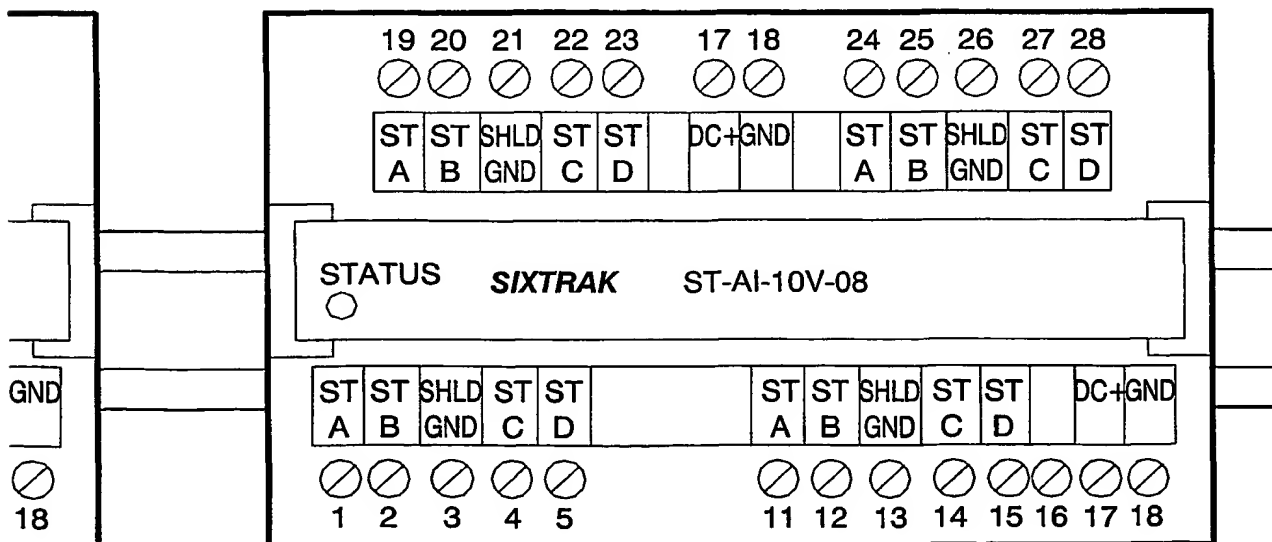
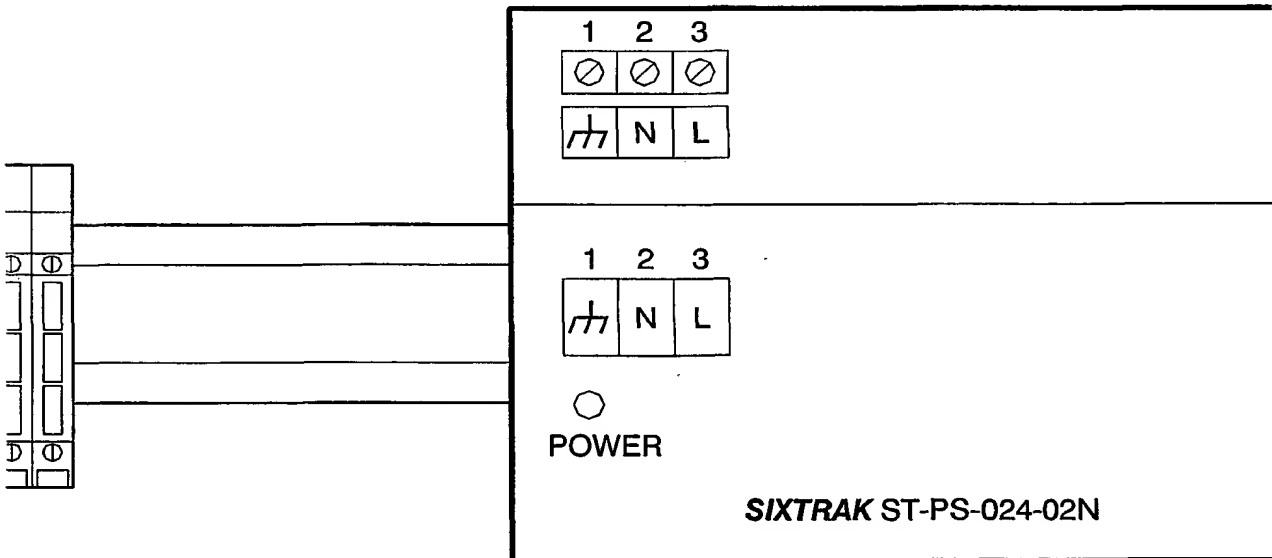
5/62

FIG. 4A-1



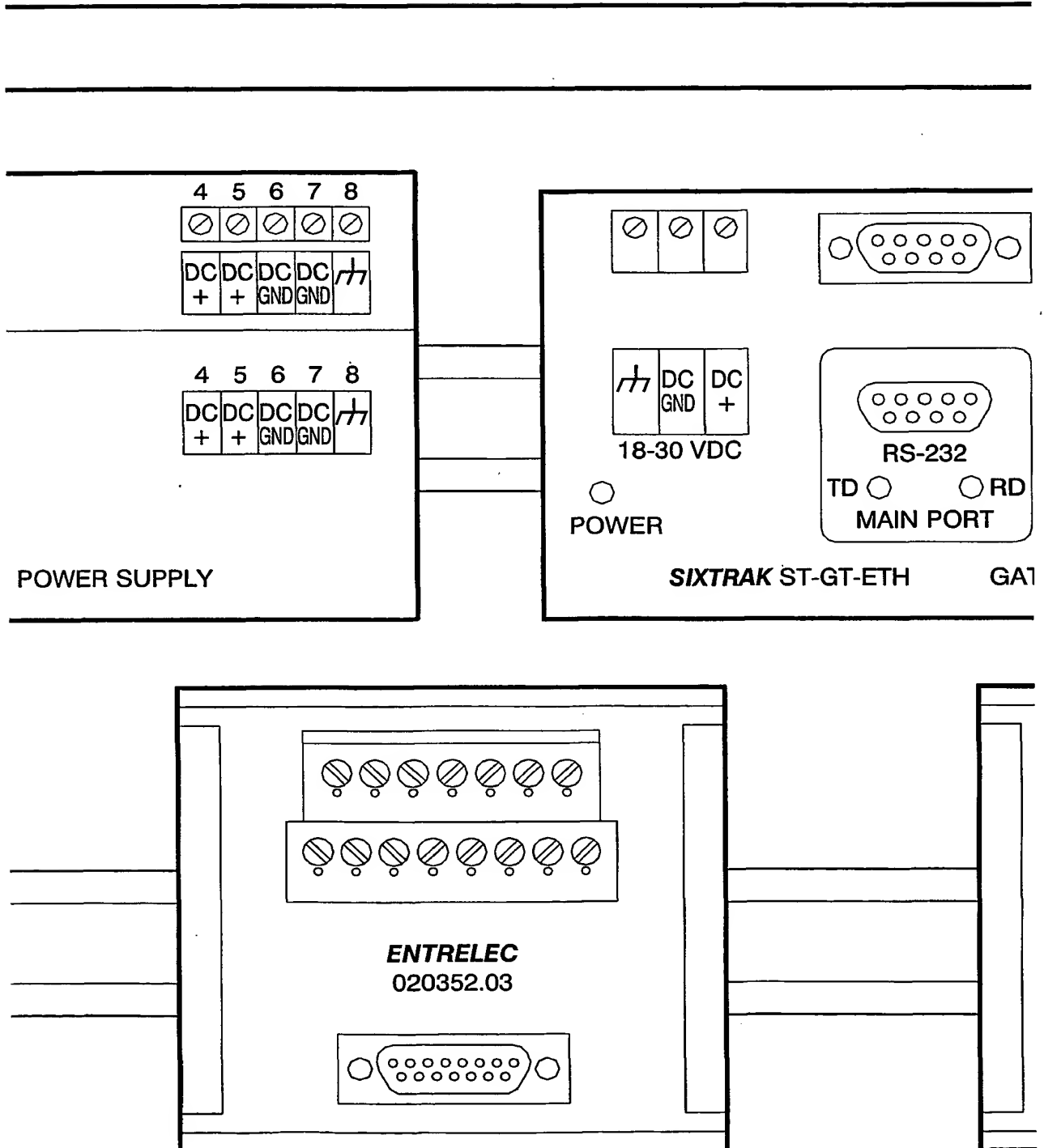
6/62

FIG. 4A-2



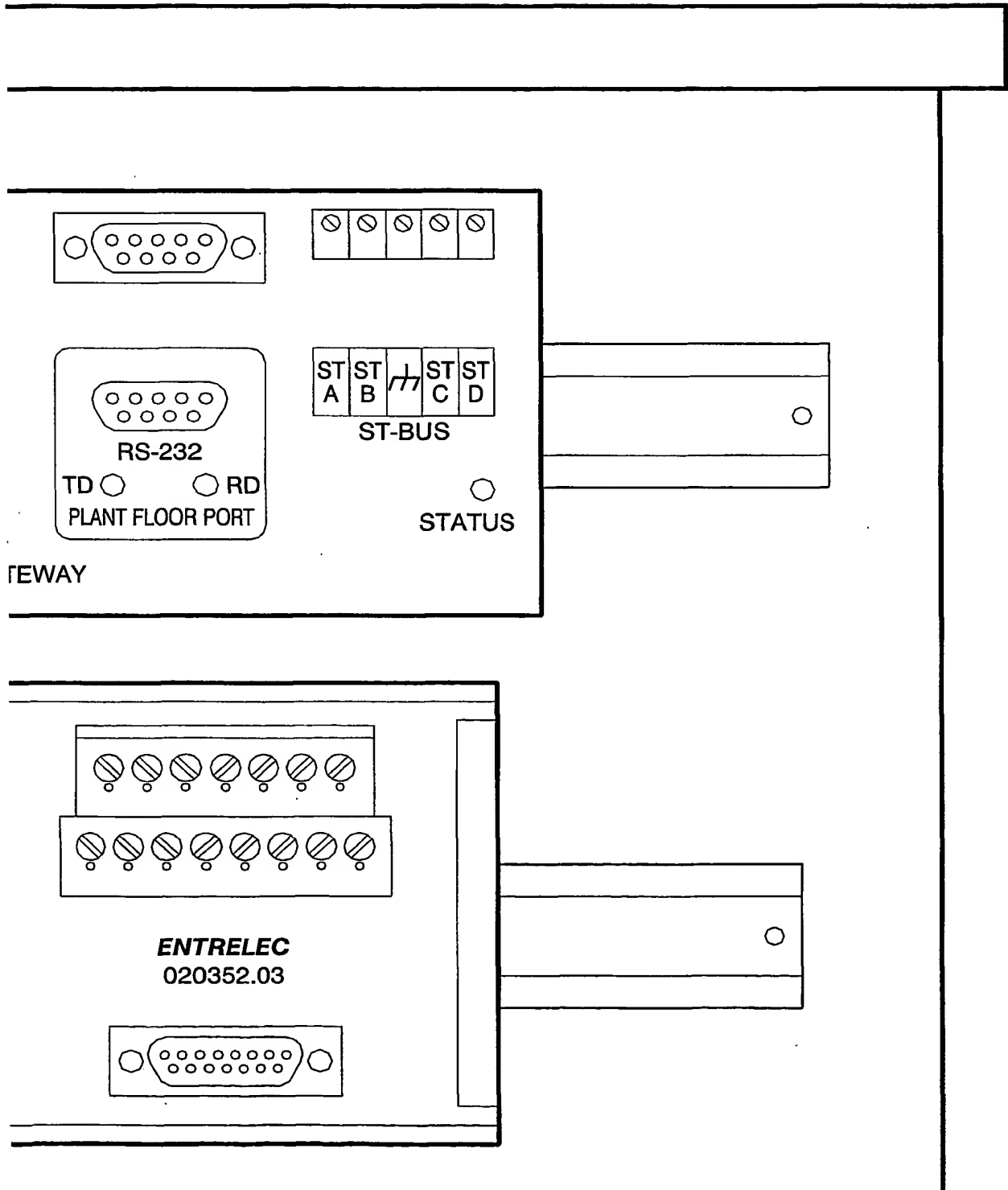
7/62

FIG. 4A-3



8/62

FIG. 4A-4



9/62

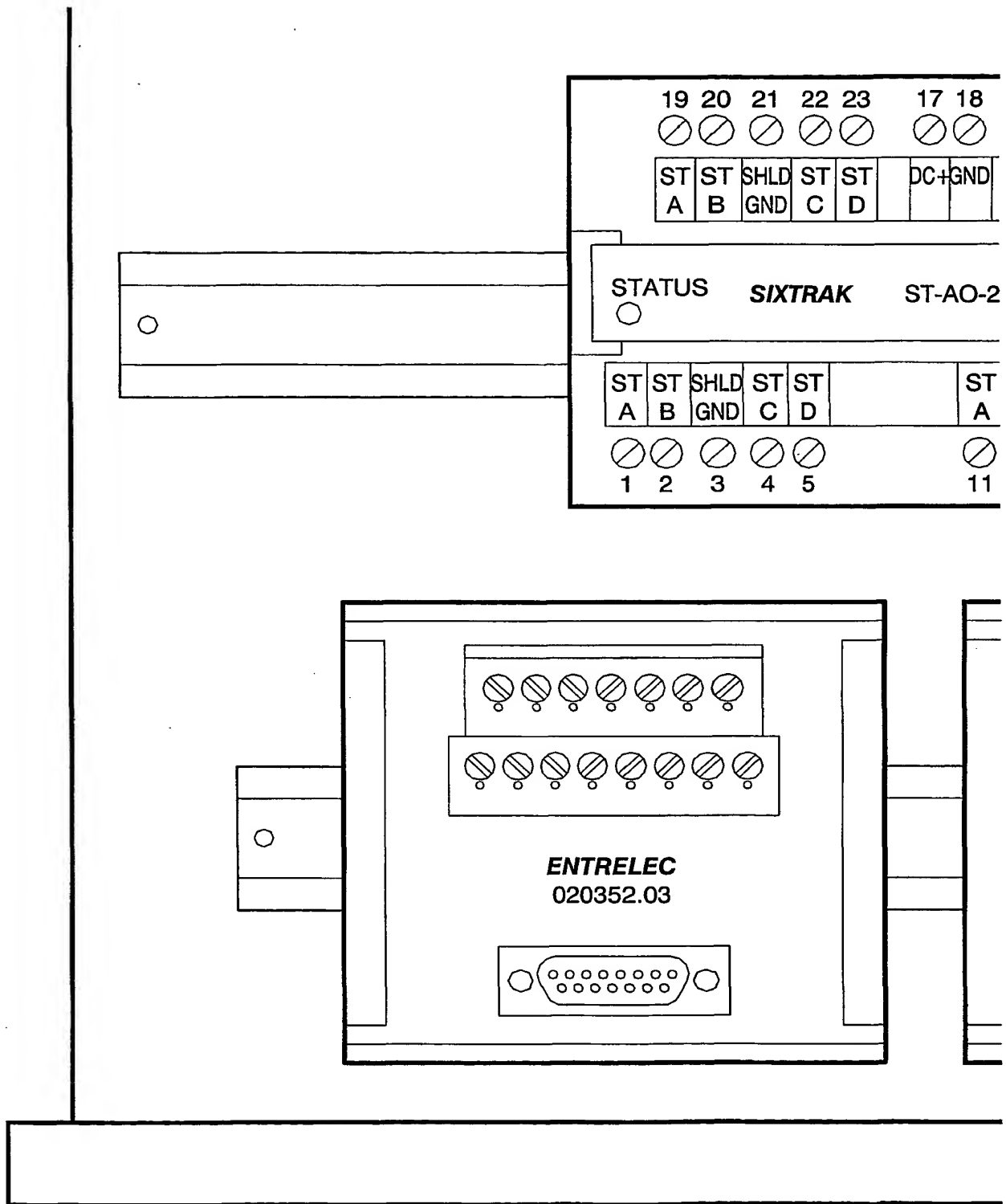


FIG. 4A-5

10/62

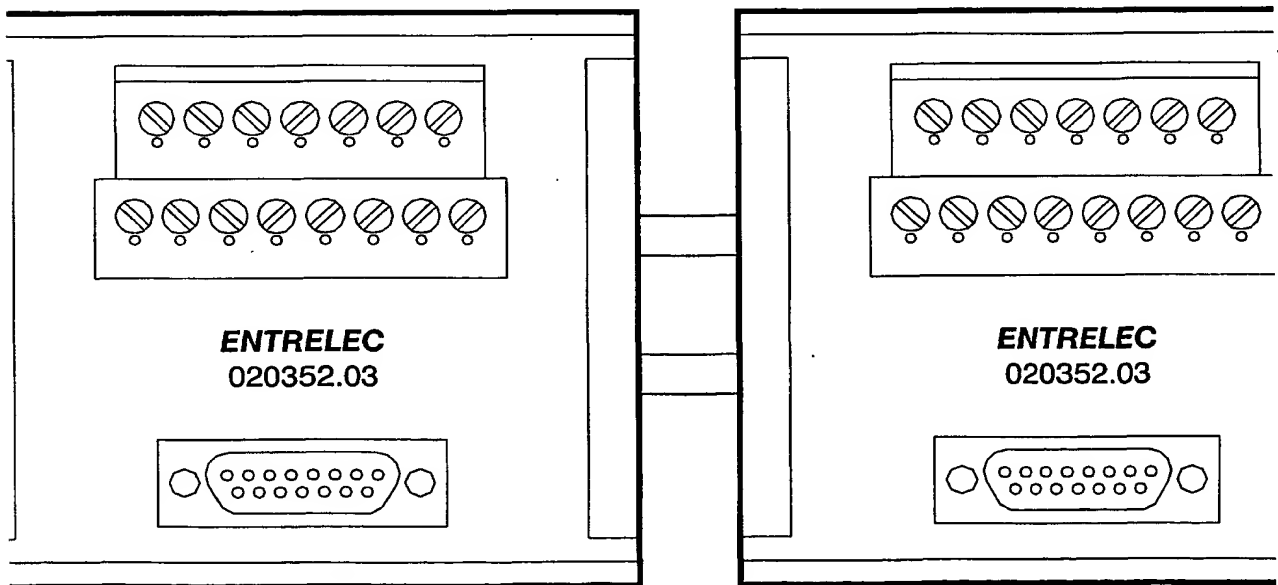
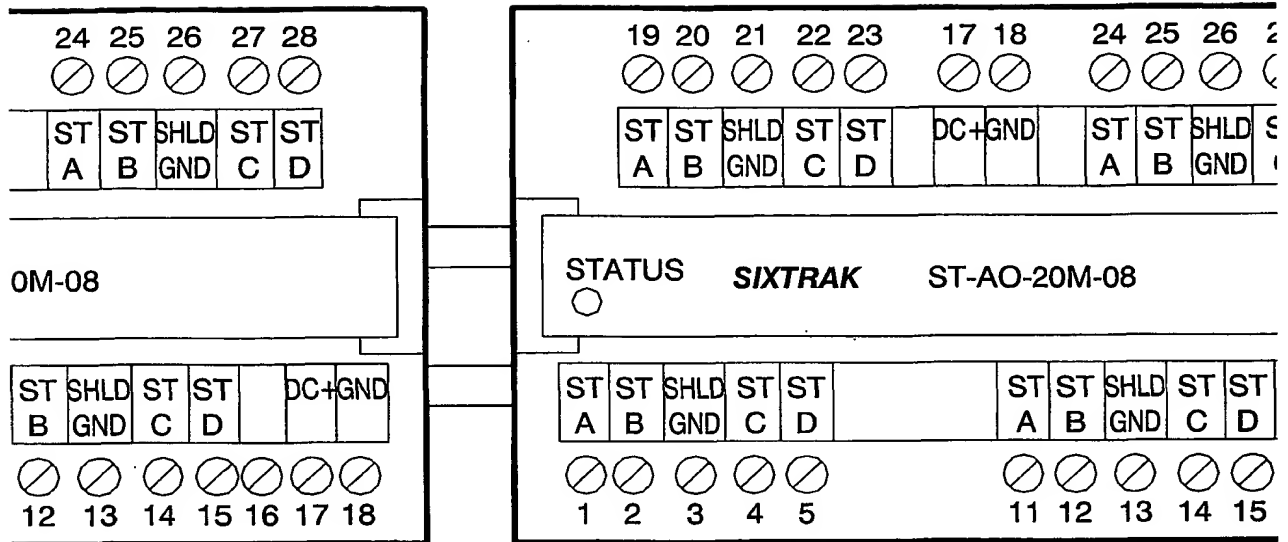


FIG. 4A-6

11/62

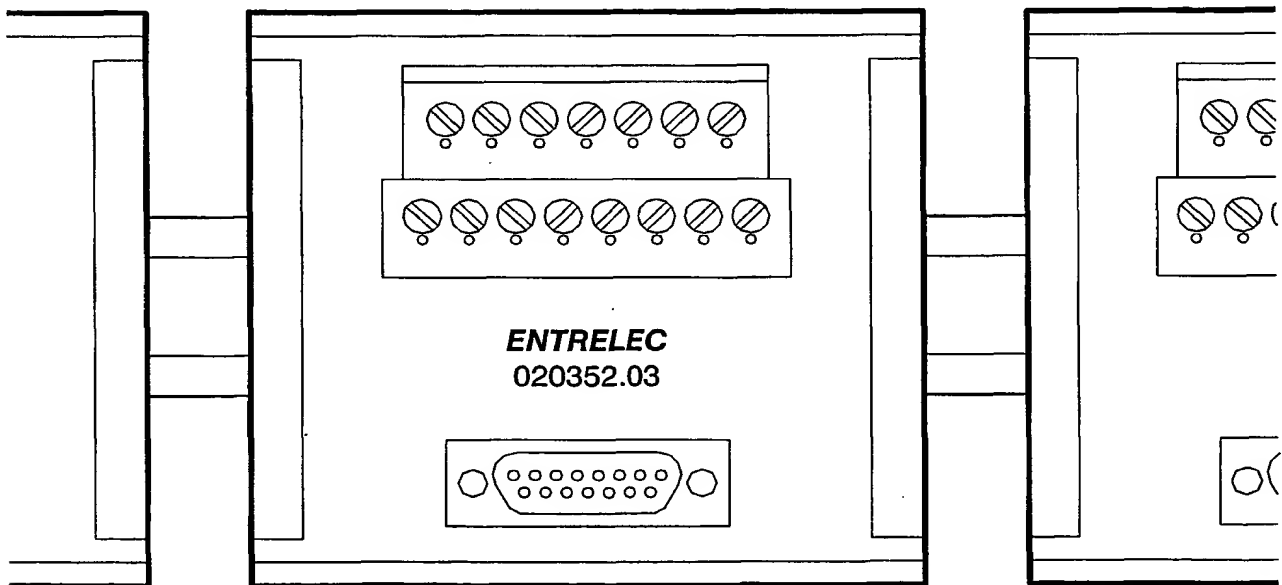
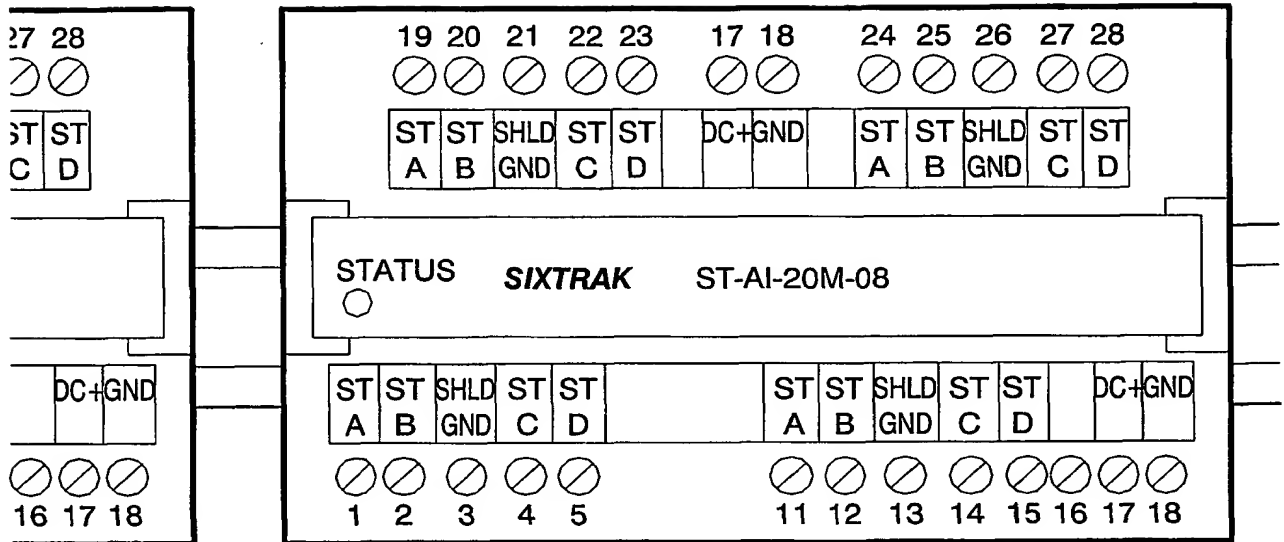


FIG. 4A-7

12/62

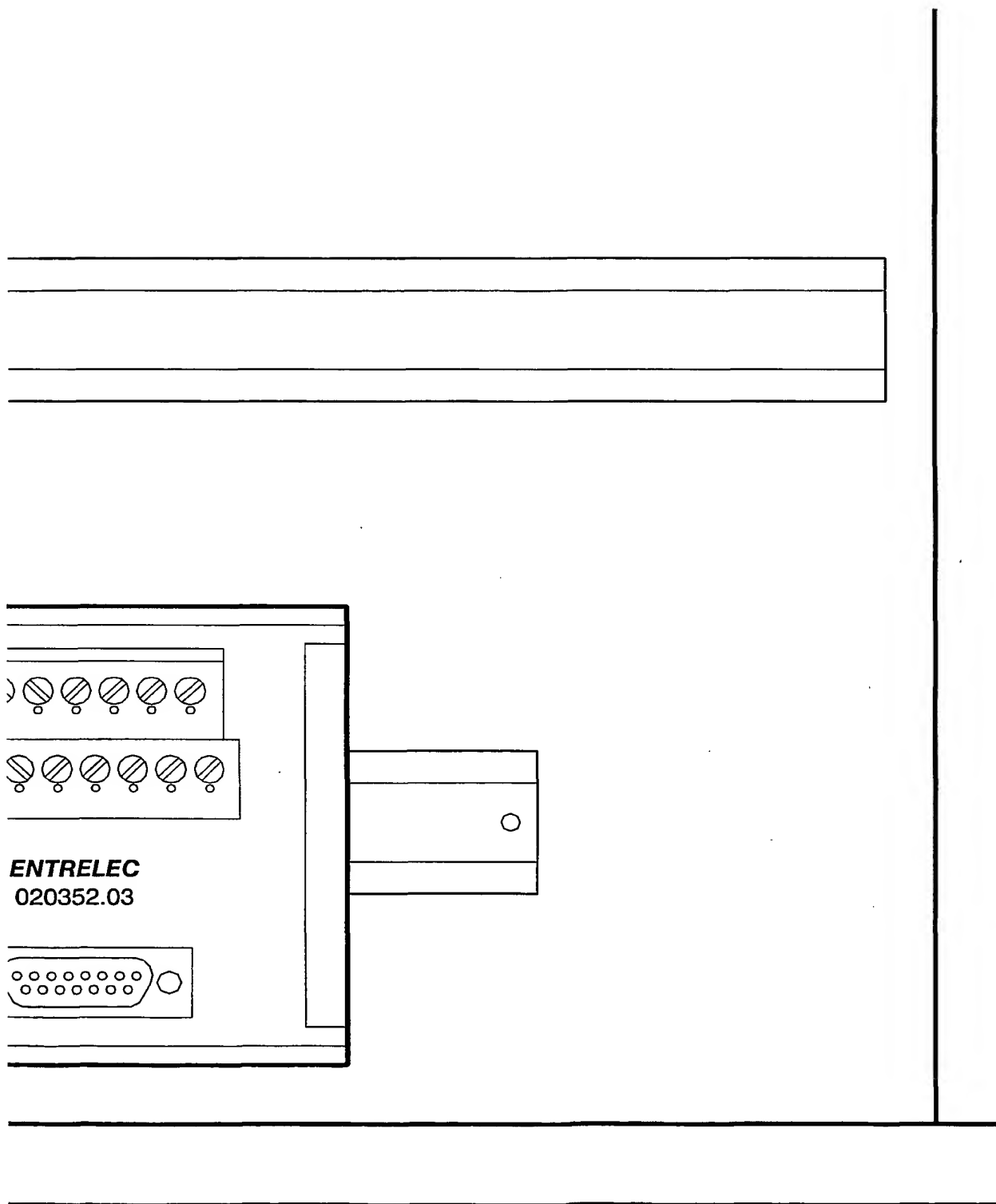


FIG. 4A-8

13/62

FIG. 4B-1

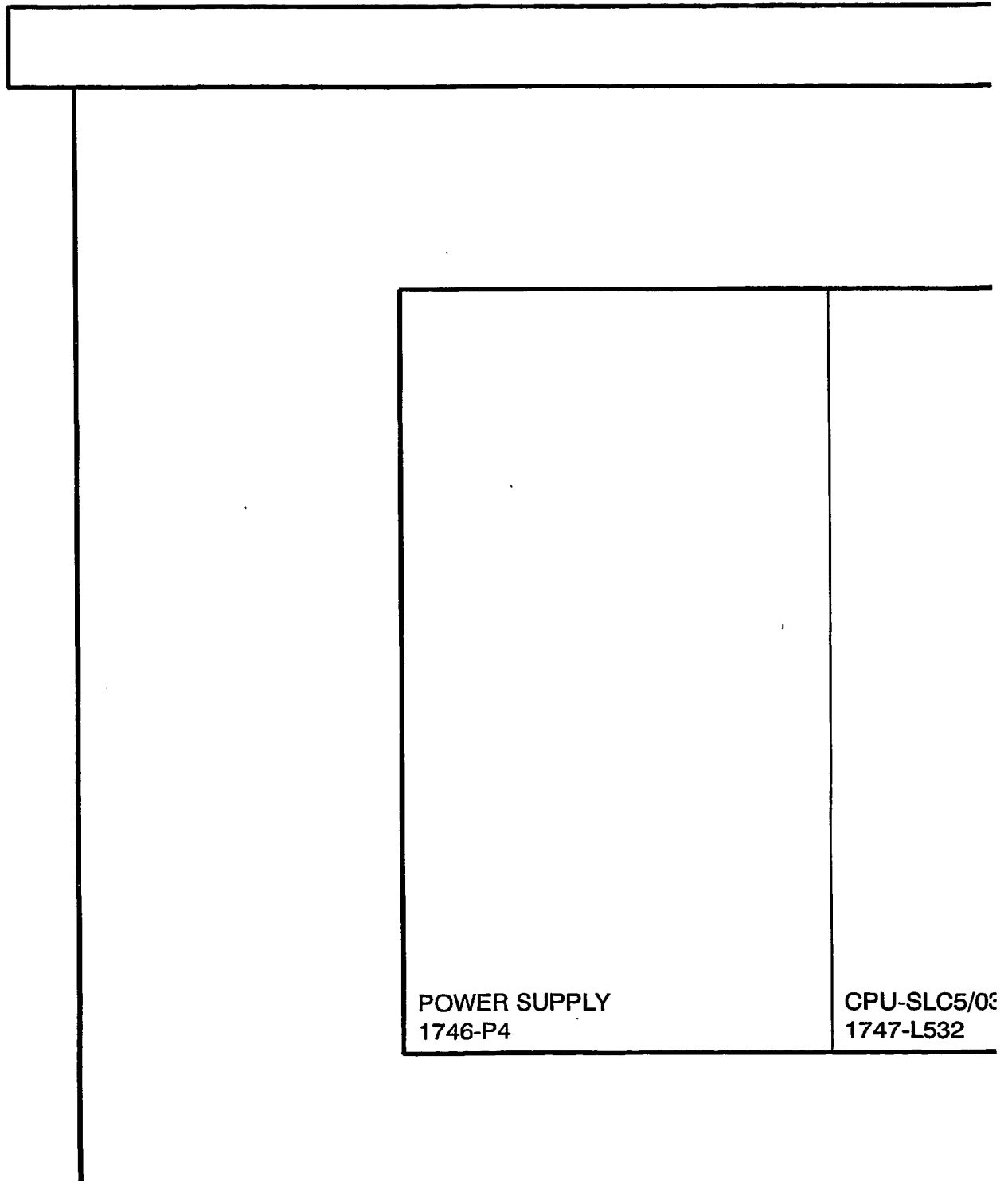


FIG. 4B-2



	MODULE 1	MODULE 2	MODULE 3	MODULE 4	MODULI
3	AI (4-20mA) 1746-NI4	AI (4-20mA) 1746-NI4	AI/AO (4-20mA) 1746-NIO4I	AI (4-20mA) 1746-NI4	AI (4-20mA) 1746-NI4

FIG. 4B-3

E 5	MODULE 6	MODULE 7	MODULE 8	MODULE 9	MODL
v)	AI/AO (0-5V) 1746-NIO4V	DI/DO (RELAY) 1746-IO12	DI/DO (RELAY) 1746-IO12	DI/DO (RELAY) 1746-IO12	AI/AO (0 1746-NIK

FIG. 4B-4

JE 10	MODULE 11	MODULE 12
1-5V) 04V	THERMOCOUPLE 1746-NT4	SCANNER 1746-SN

17/62

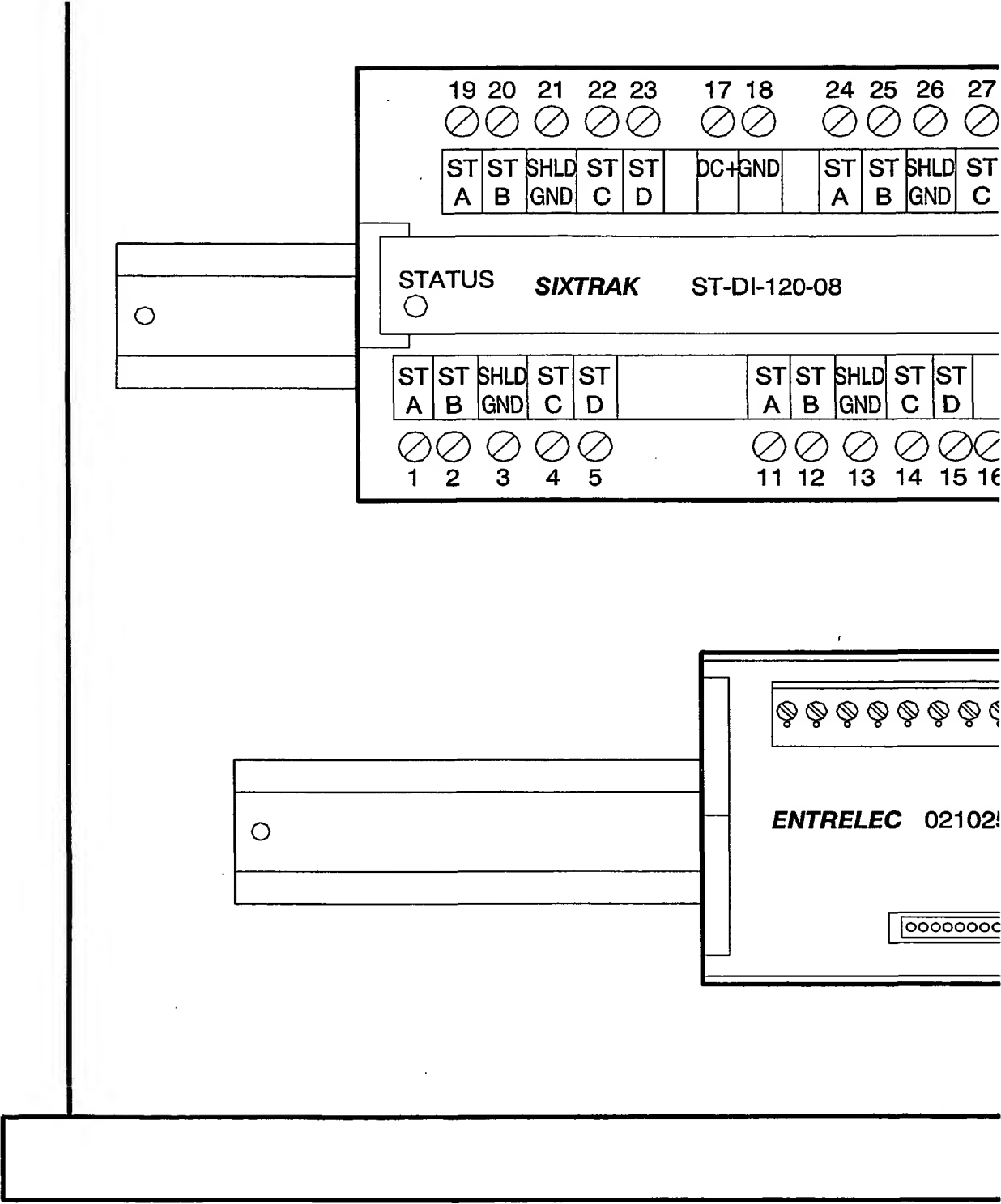


FIG. 4B-5

18/62

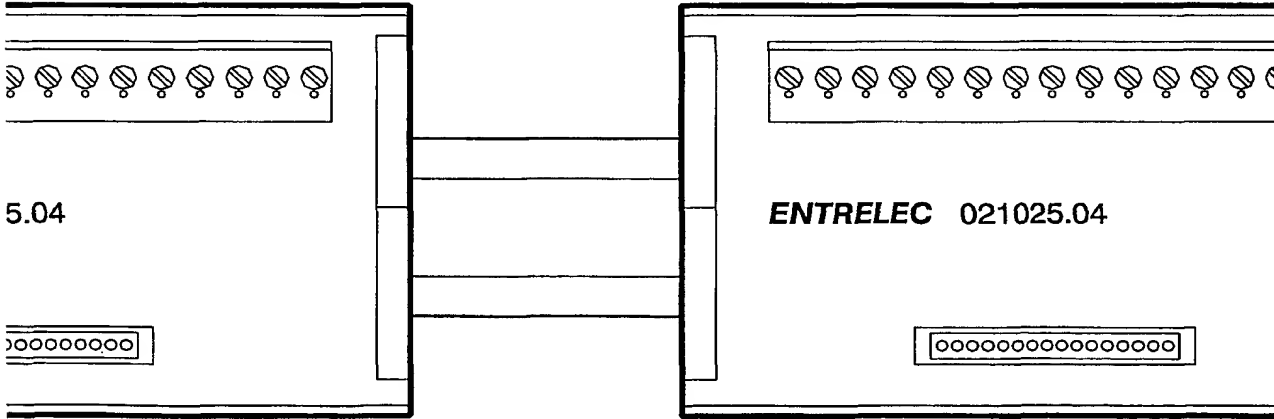
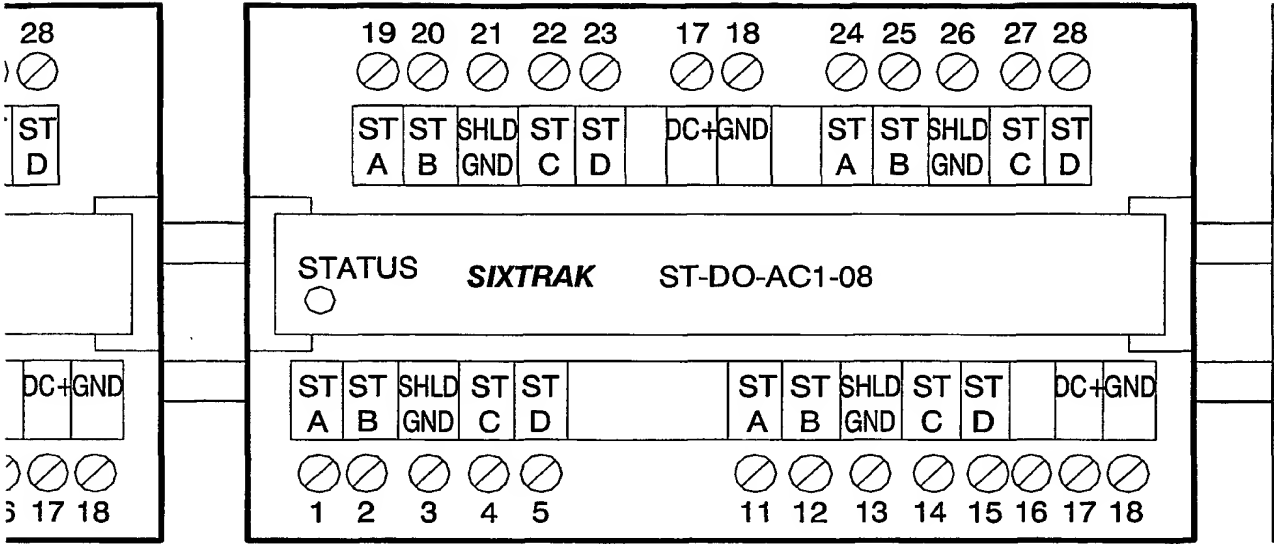


FIG. 4B-6

19/62

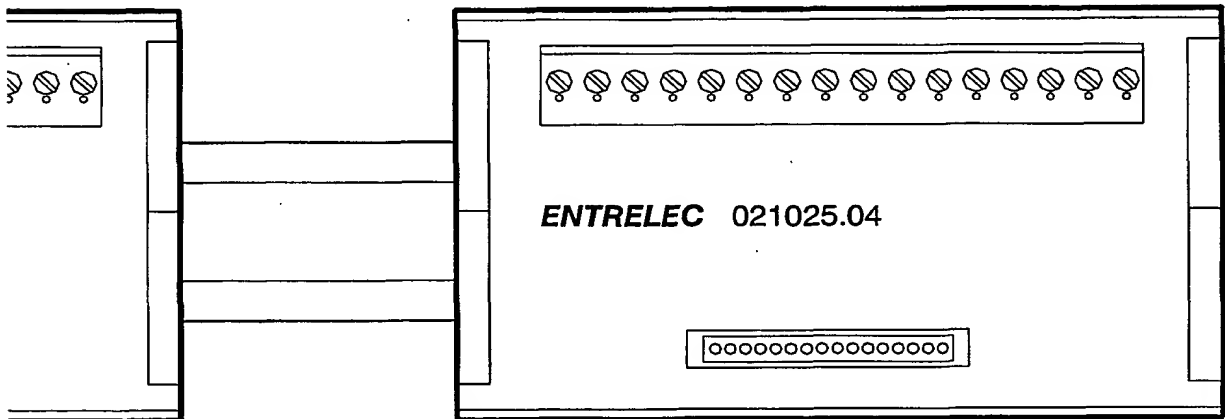
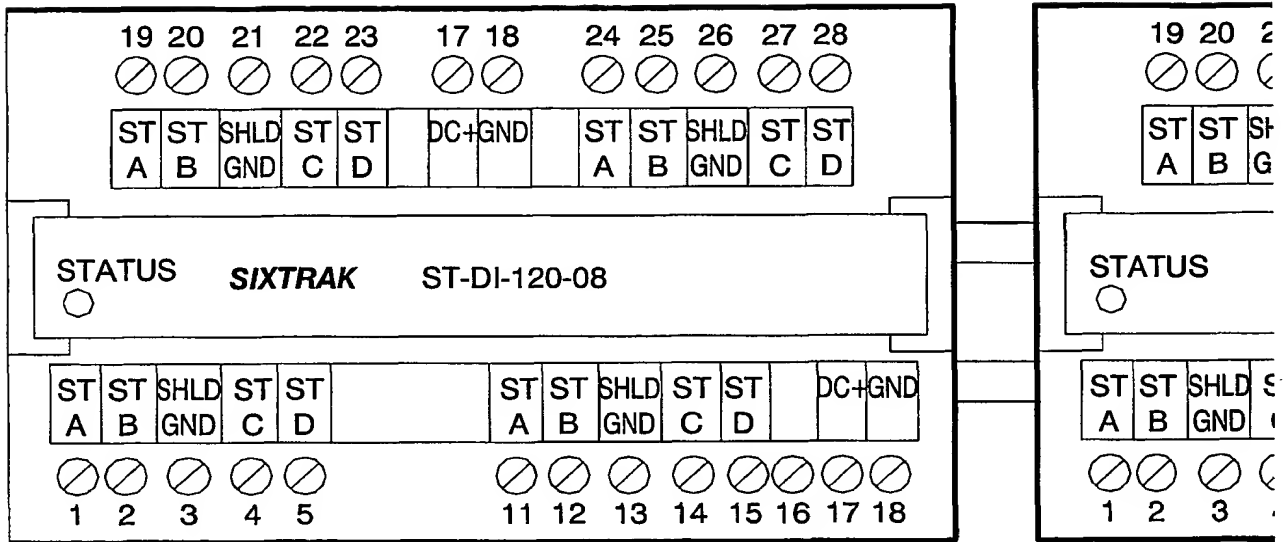


FIG. 4B-7

20/62

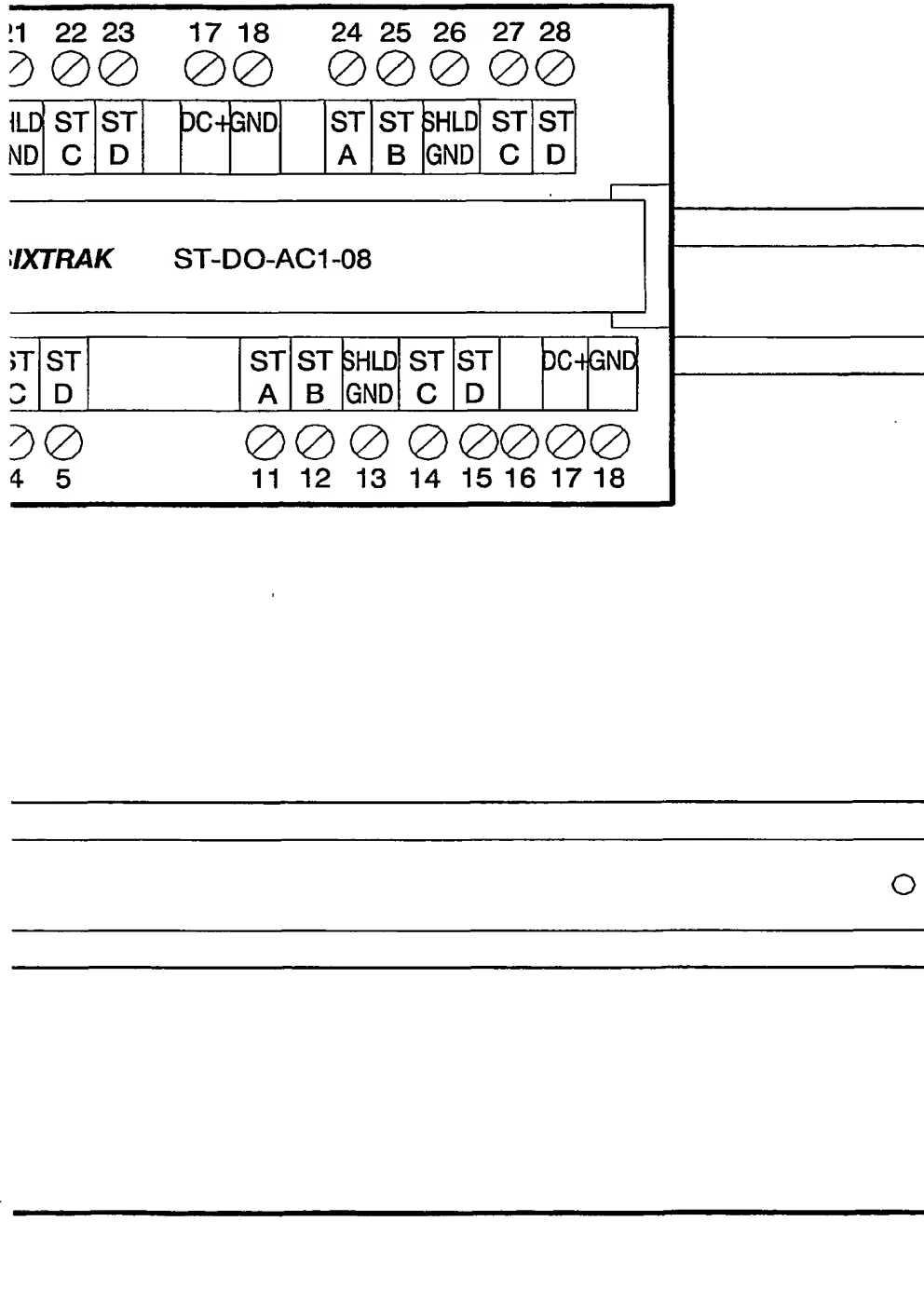


FIG. 4B-8

21/62

<i>FIG. 5A-1</i>	<i>FIG. 5A-2</i>	<i>FIG. 5A-3</i>	<i>FIG. 5A-5</i>
<i>FIG. 5A-5</i>	<i>FIG. 5A-6</i>	<i>FIG. 5A-7</i>	<i>FIG. 5A-8</i>

*FIG. 5A**FIG. 5B*

<i>FIG. 5B-1</i>	<i>FIG. 5B-2</i>	<i>FIG. 5B-3</i>	<i>FIG. 5B-5</i>
<i>FIG. 5B-5</i>	<i>FIG. 5B-6</i>	<i>FIG. 5B-7</i>	<i>FIG. 5B-8</i>

22/62

FIG. 5A-1

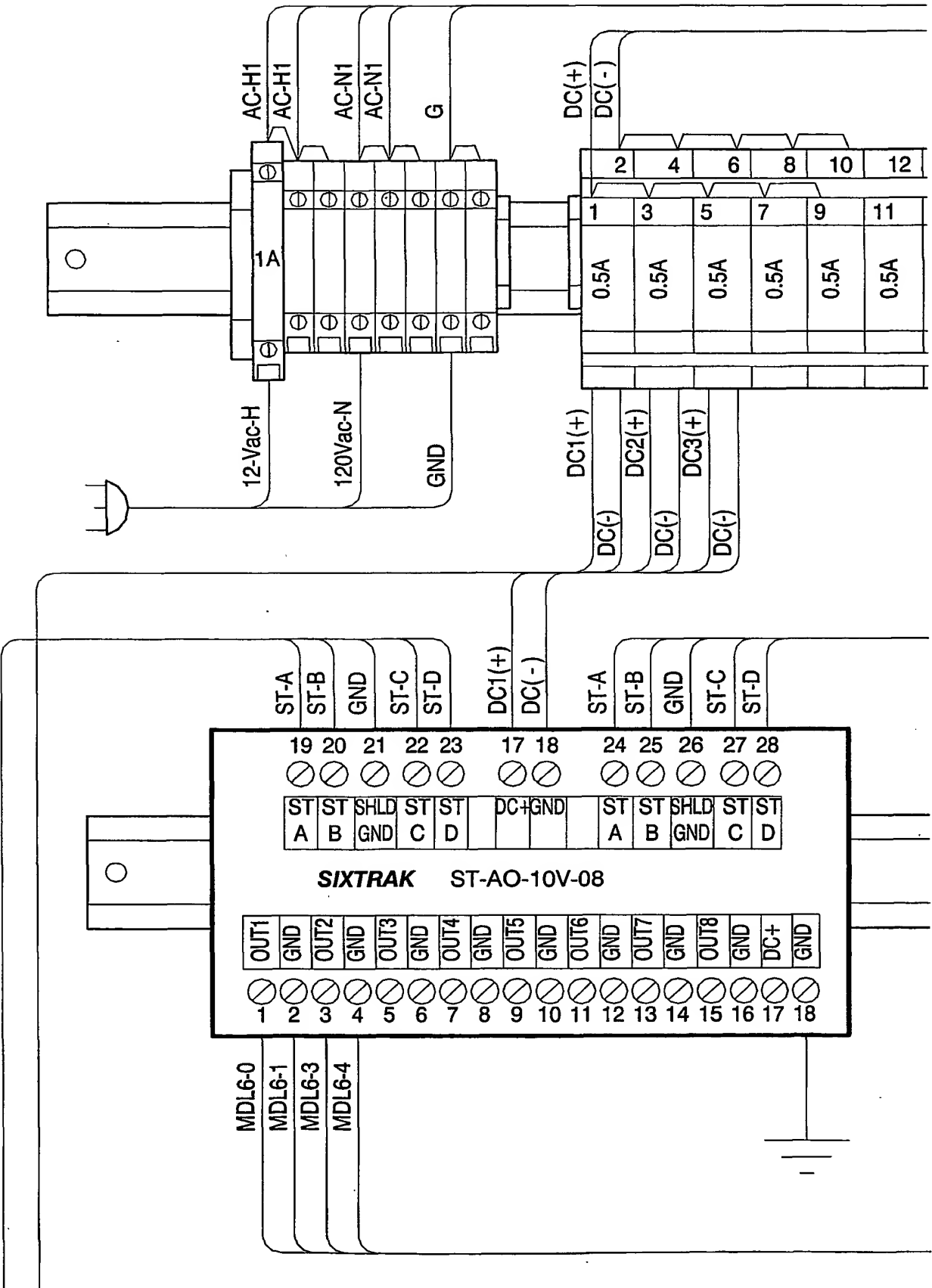


FIG. 5A-2 23/62

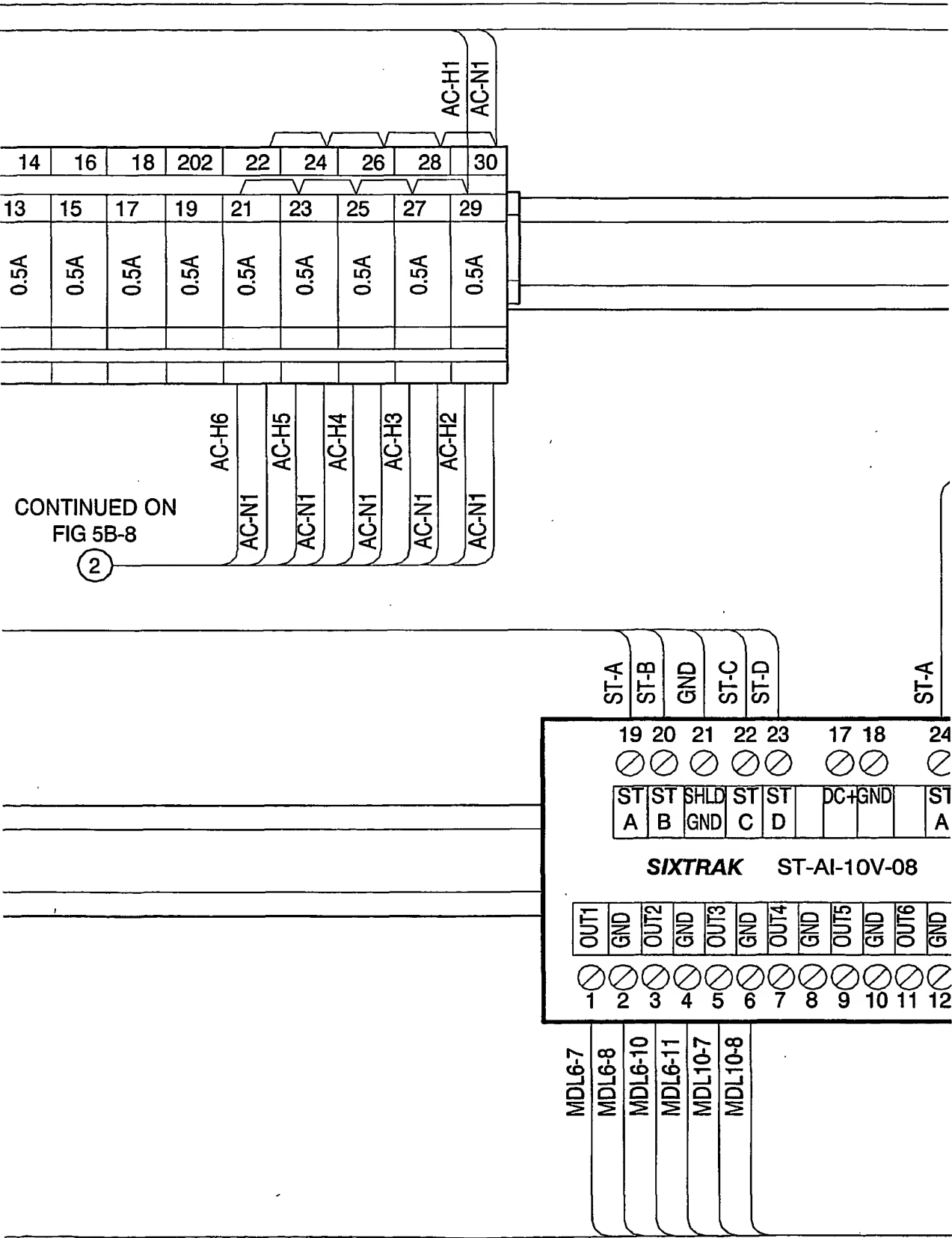
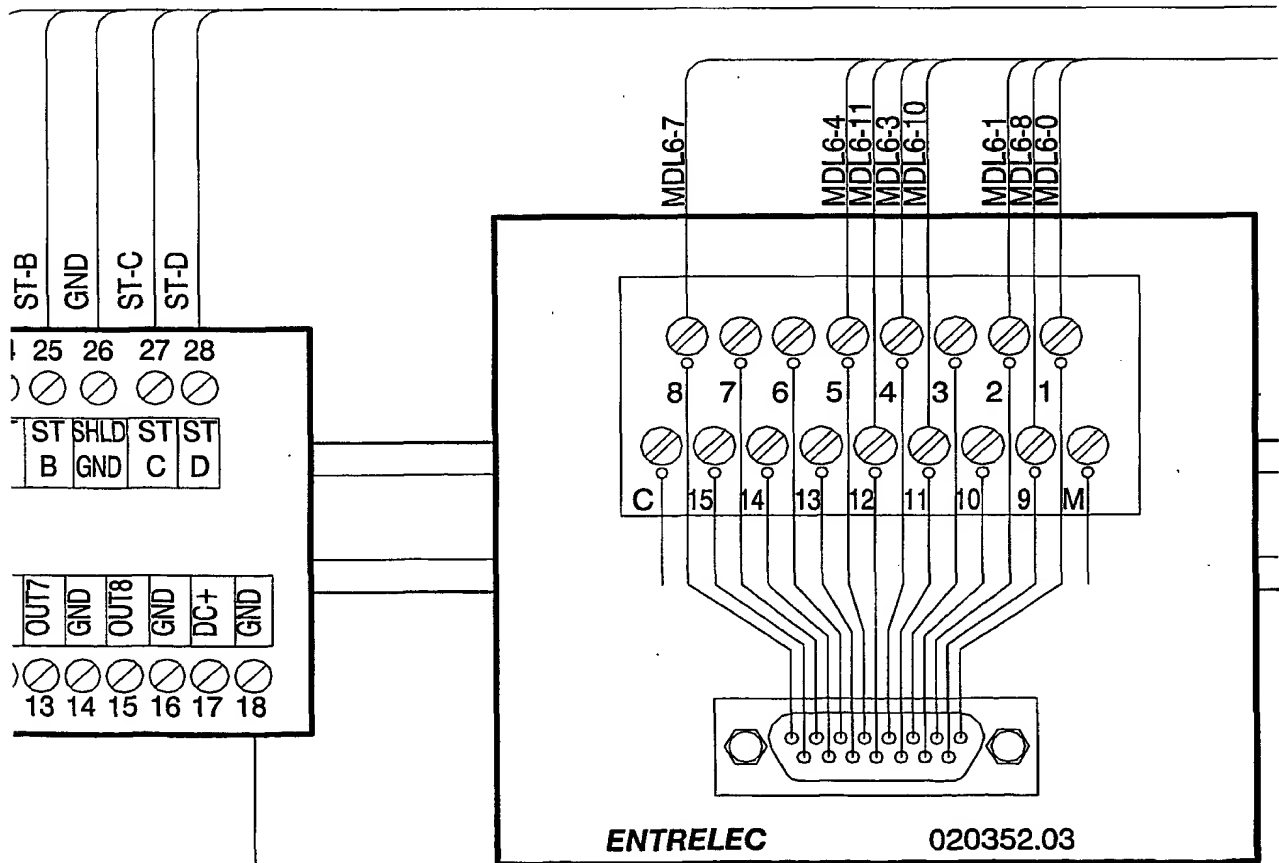
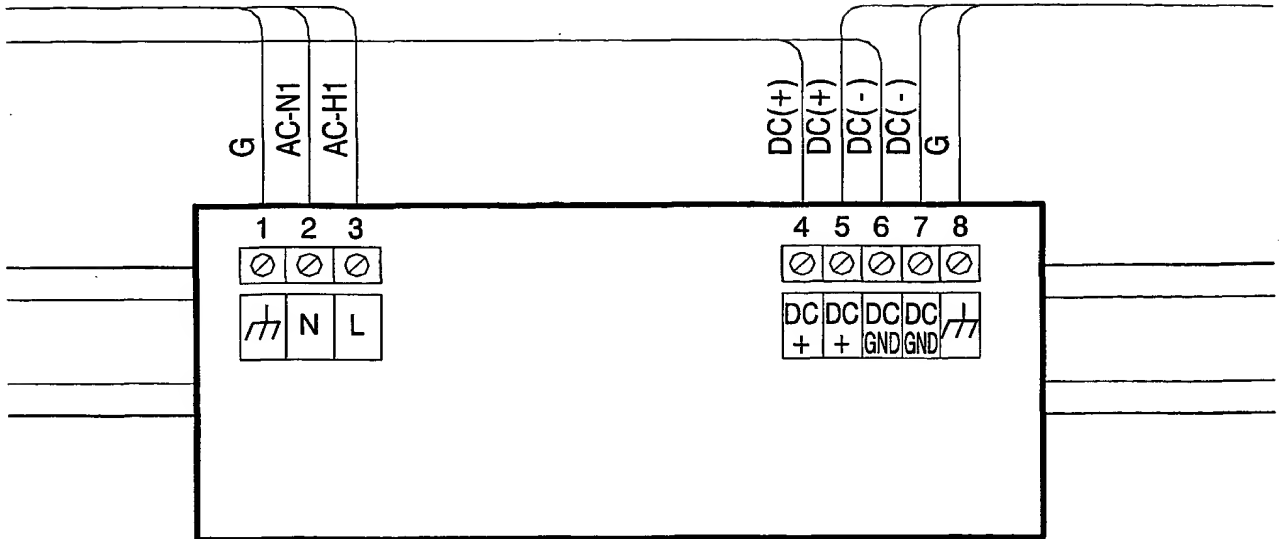


FIG. 5A-3

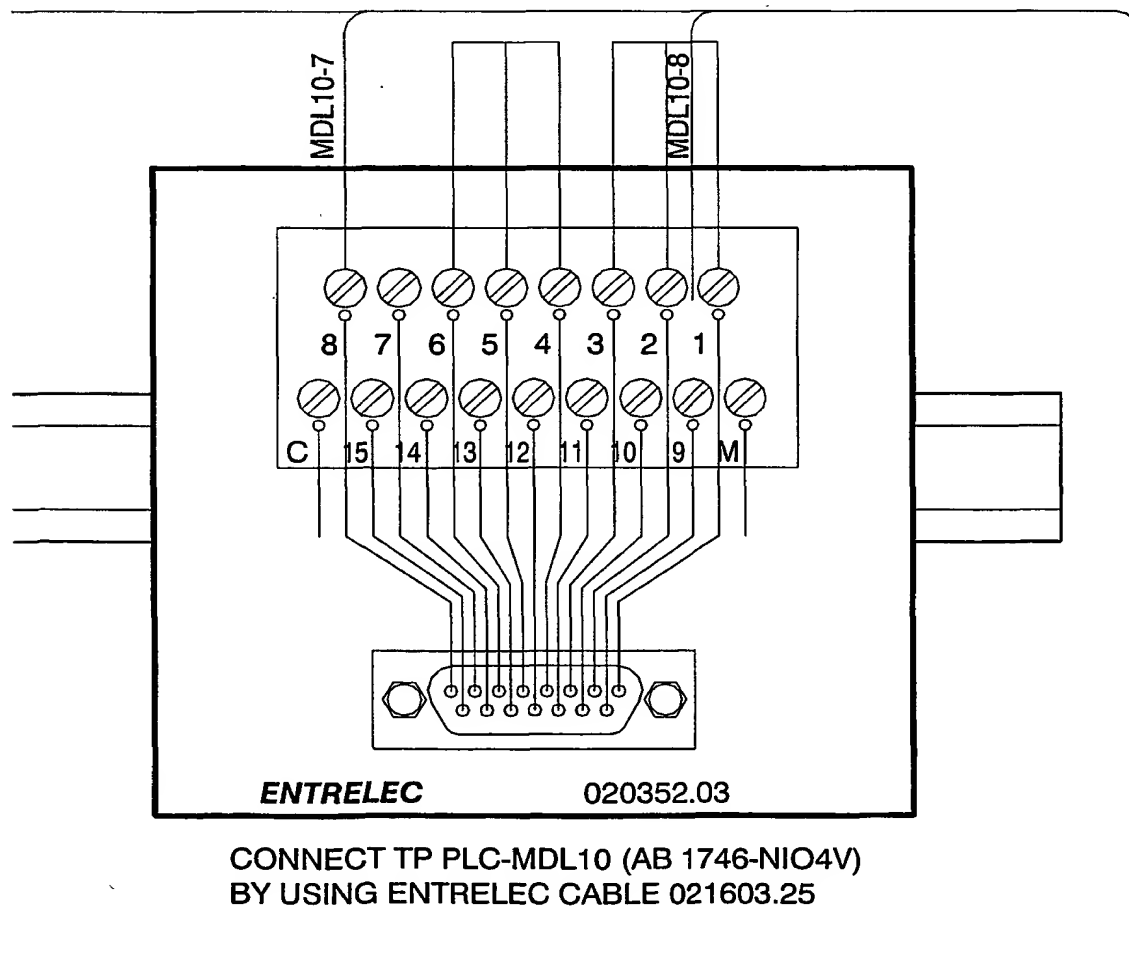
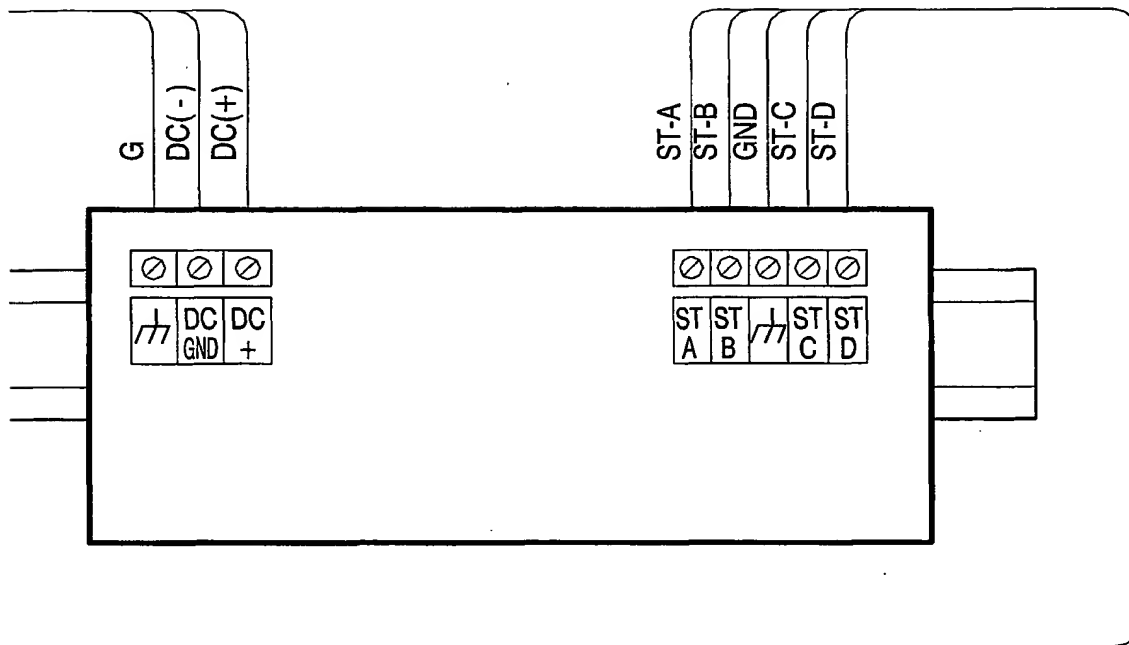
24/62



CONNECT TP PLC-MDL10 (AB 1746-NIO4V)
BY USING ENTRELEC CABLE 021603.25

FIG. 5A-4

25/62



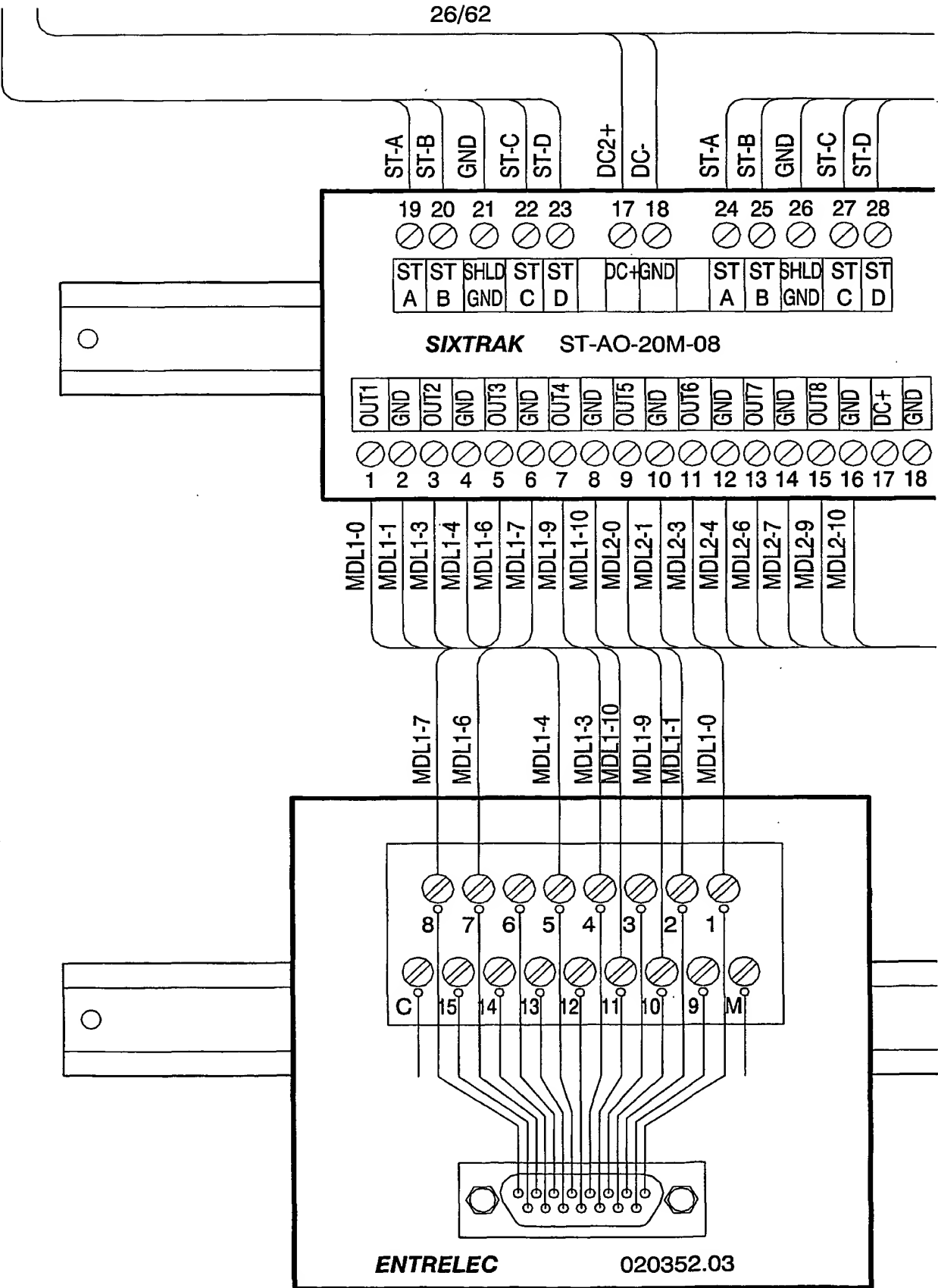
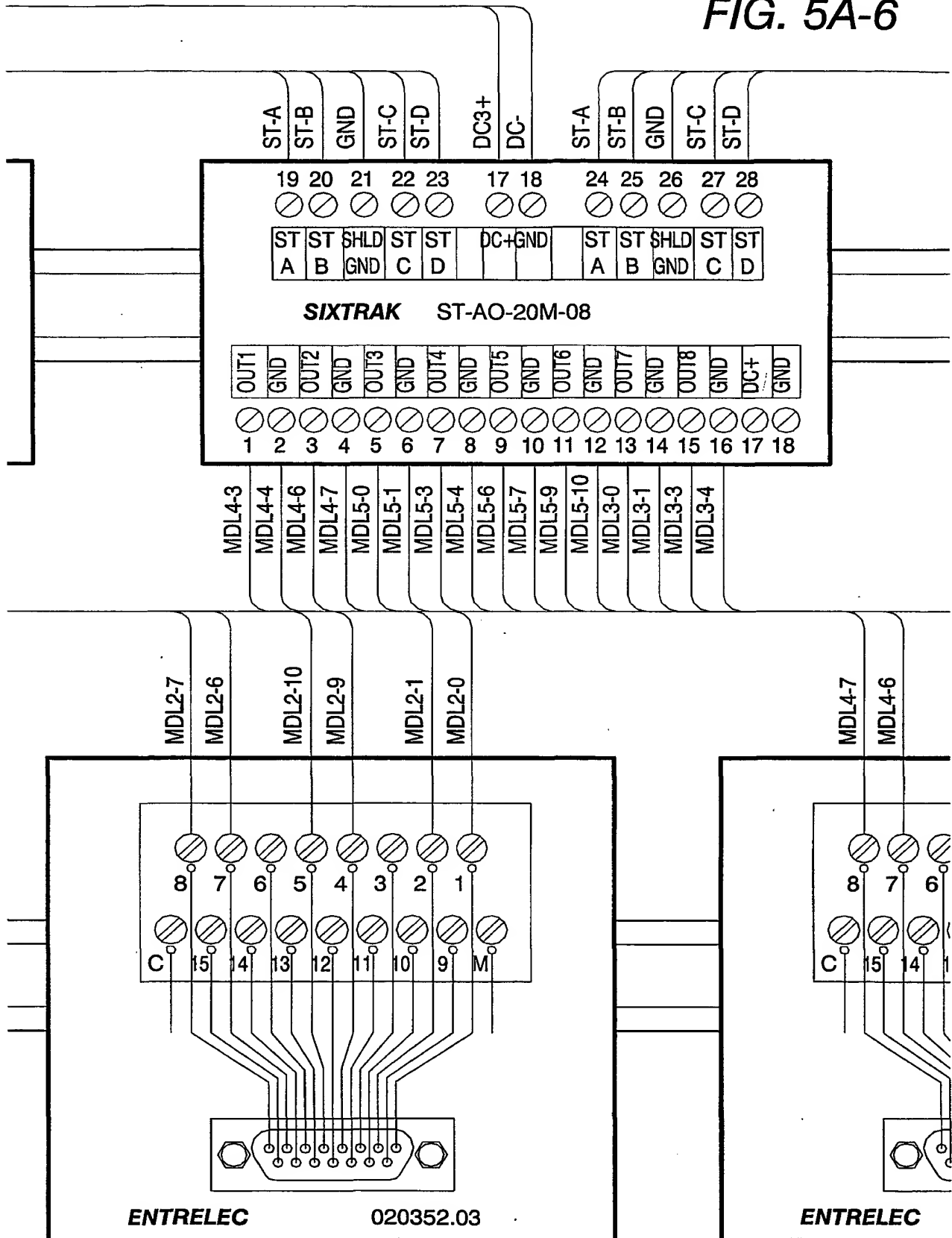


FIG. 5A-5

CONNECT TP PLC-MDL1 (AB 1746-NI4)
BY USING ENTRELEC CABLE 021603.25

27/62

FIG. 5A-6



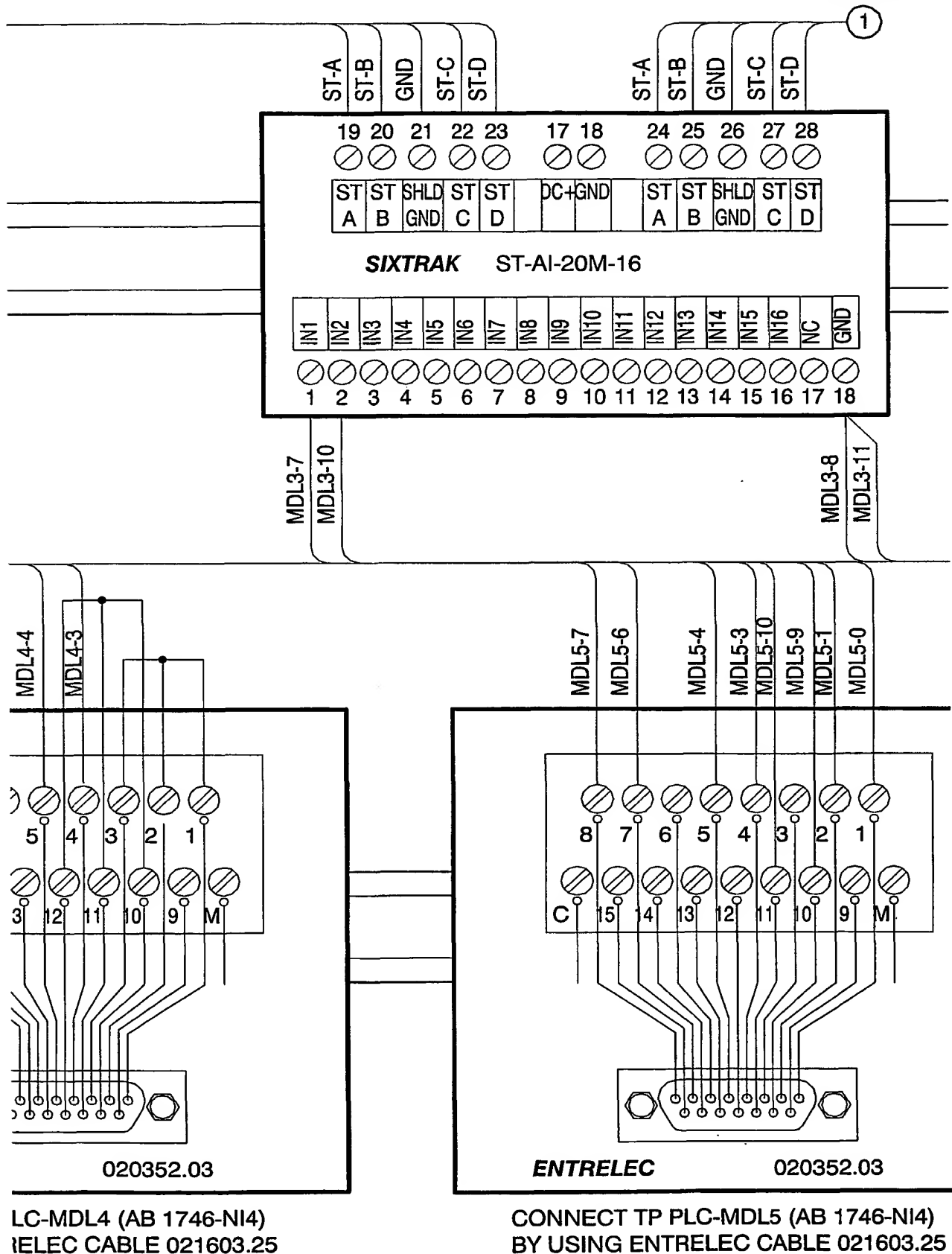
CONNECT TP PLC-MDL2 (AB 1746-NI4)
BY USING ENTRELEC CABLE 021603.25

CONNECT TP P
BY USING ENT

FIG. 5A-7

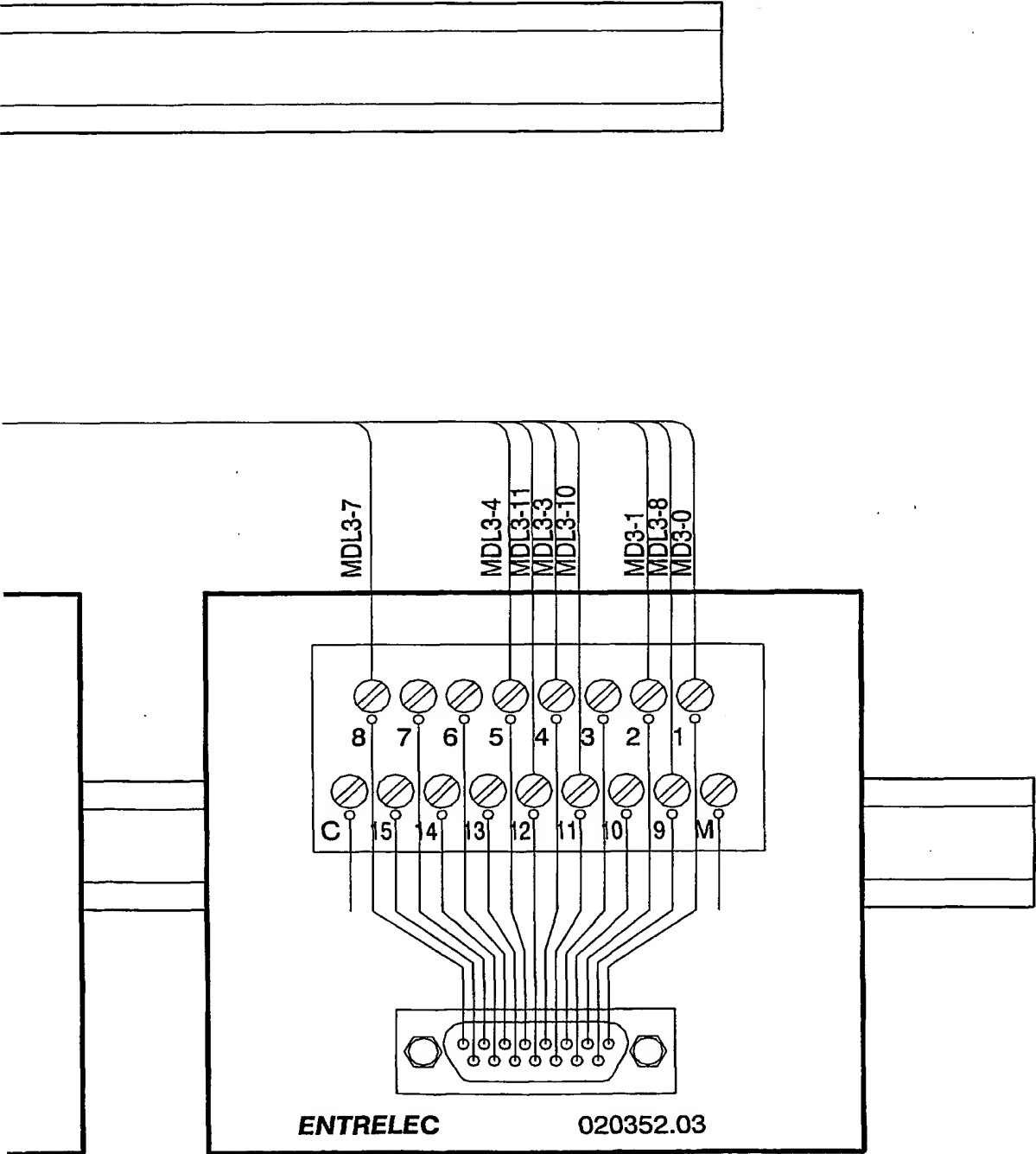
28/62

CONTINUED ON
FIG 5B-5



29/62

FIG. 5A-8



CONNECT TP PLC-MDL3 (AB 1746-NIO4I)
BY USING ENTRELEC CABLE 021603.25

FIG. 5B-1

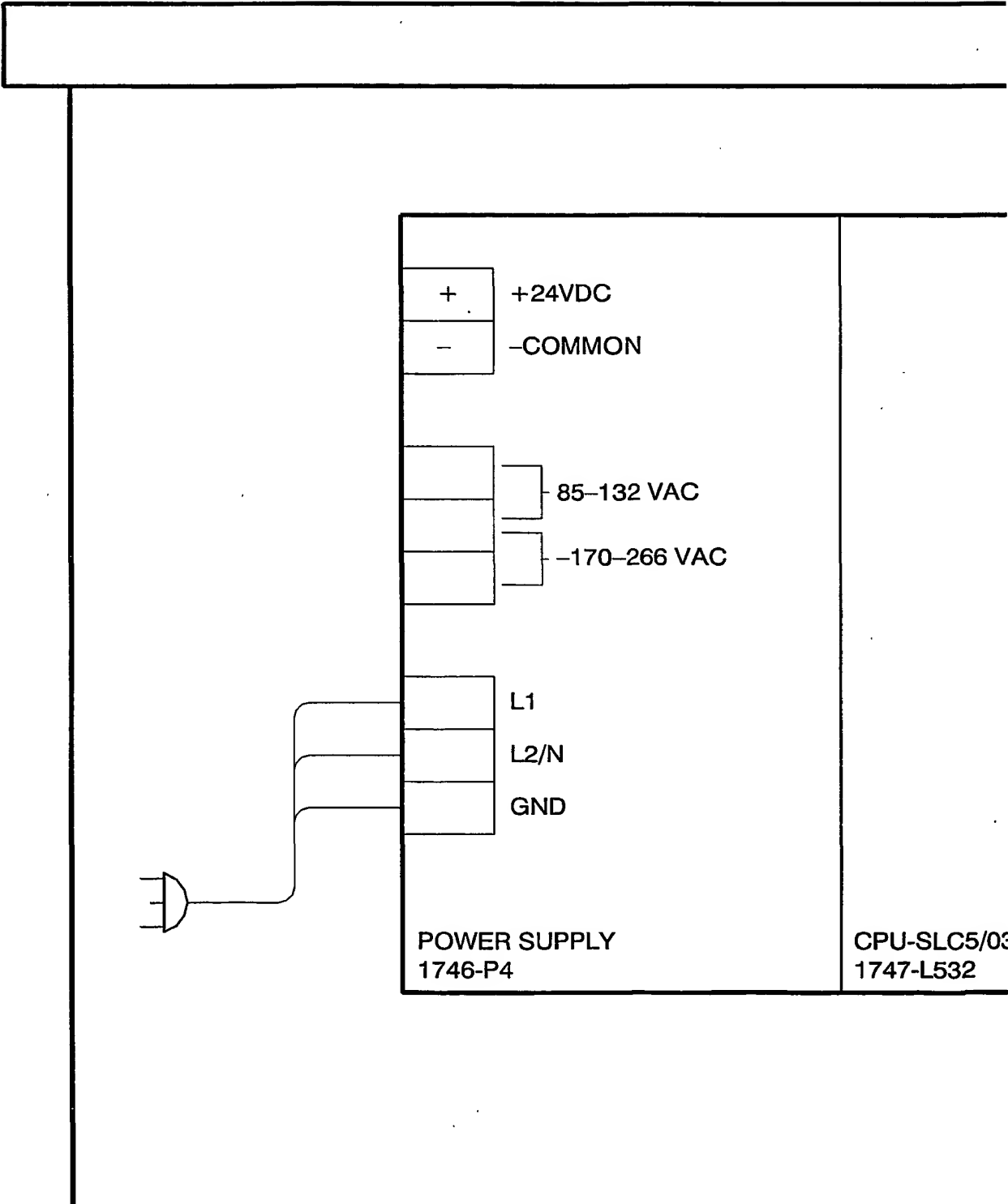


FIG. 5B-2



	MODULE 1	MODULE 2	MODULE 3	MODULE 4	MODULI
3	AI (4-20mA) 1746-NI4	AI (4-20mA) 1746-NI4	AI/AO (4-20mA) 1746-NIO4I	AI (4-20mA) 1746-NI4	AI (4-20mA) 1746-NI4

FIG. 5B-3



E 5	MODULE 6	MODULE 7	MODULE 8	MODULE 9	MODU
v)	AI/AO (0-5V) 1746-NIO4V	DI/DO (RELAY) 1746-IO12	DI/DO (RELAY) 1746-IO12	DI/DO (RELAY) 1746-IO12	AI/AO (0 1746-NI(

FIG. 5B-4

JE 10	MODULE 11	MODULE 12
-5V) 04V	THERMOCOUPLE 1746-NT4	SCANNER 1746-SN

34/62

CONTINUED ON
FIG. 5A-7

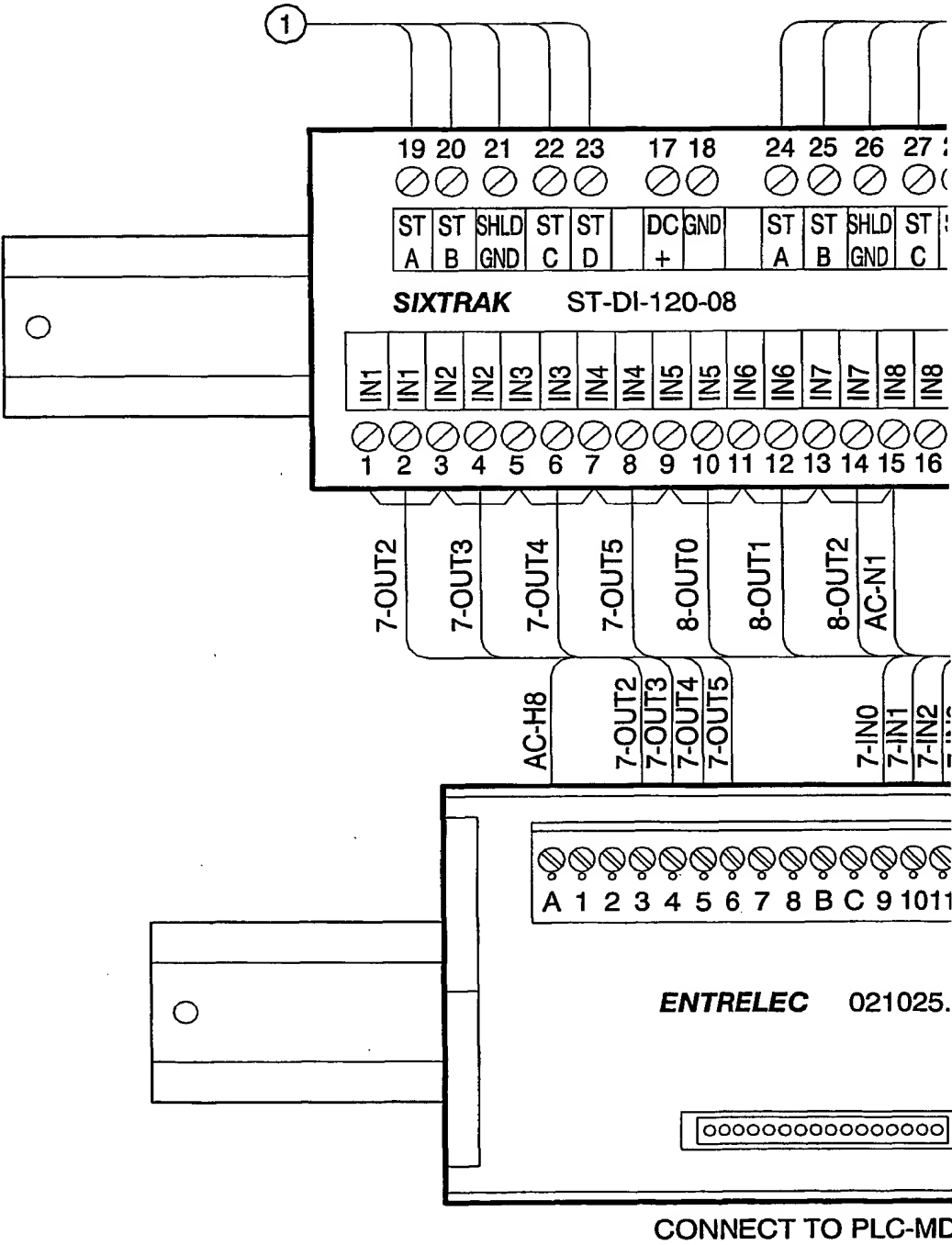


FIG. 5B-5

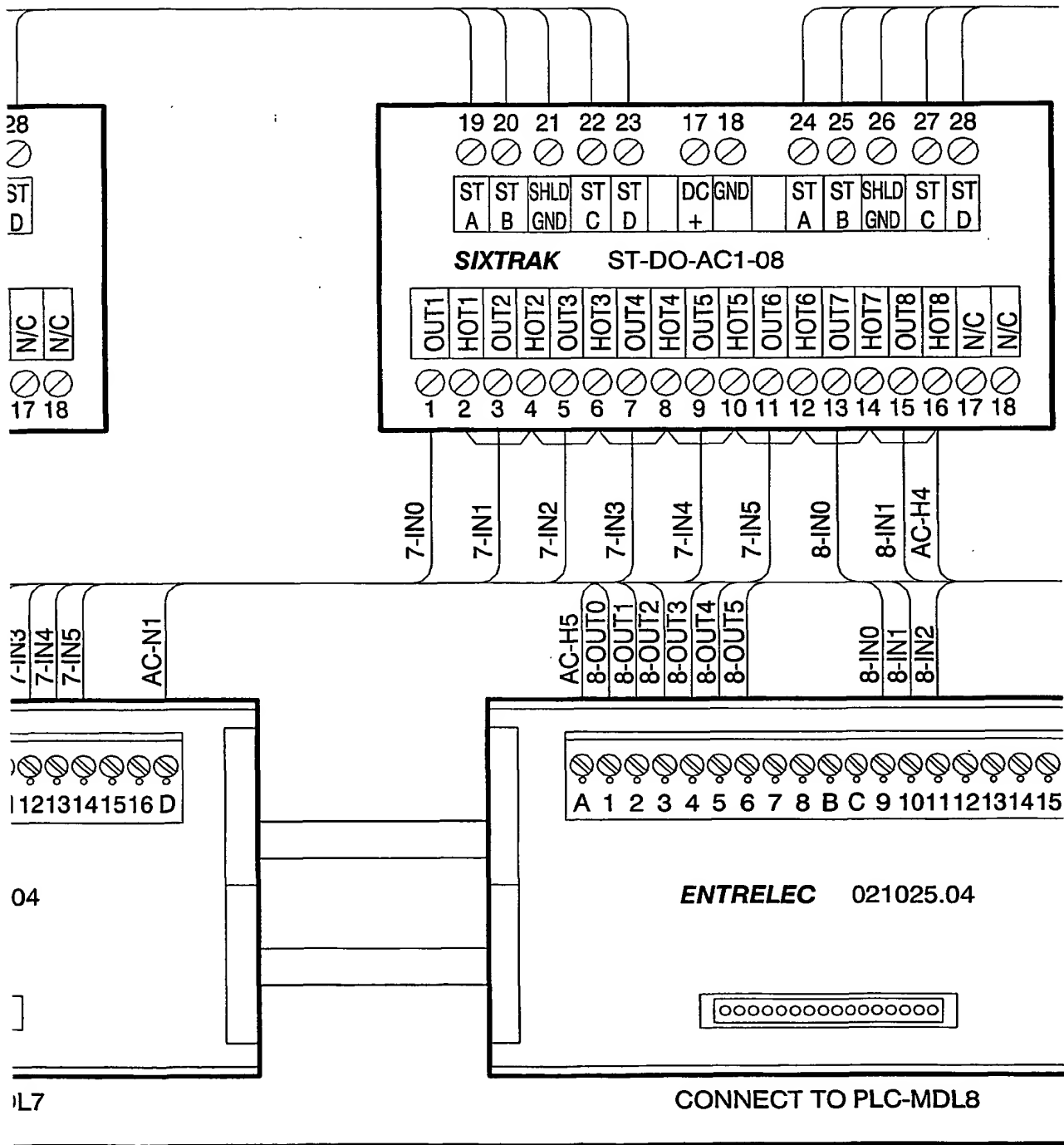


FIG. 5B-6

36/62

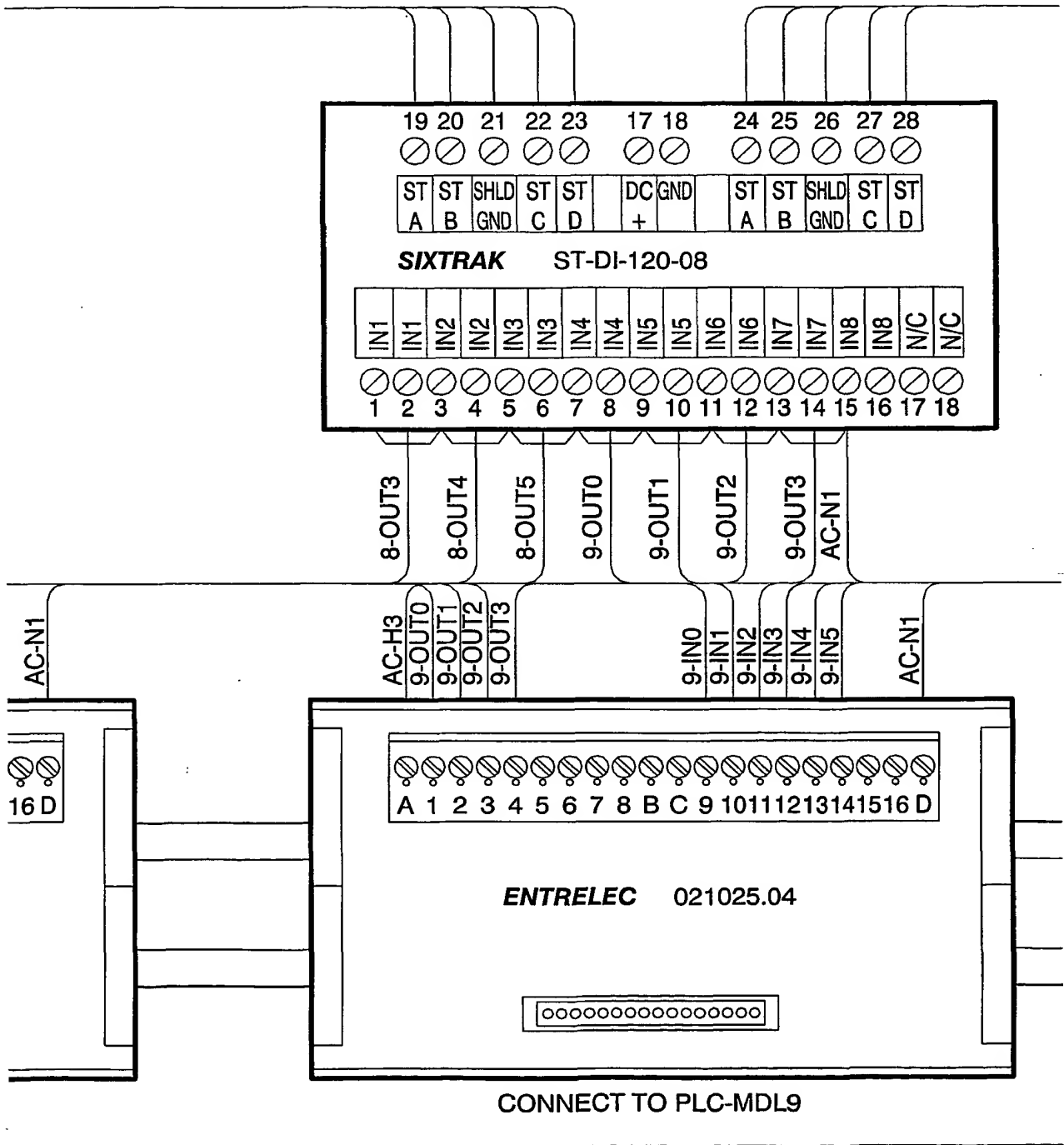


FIG. 5B-7

37/62

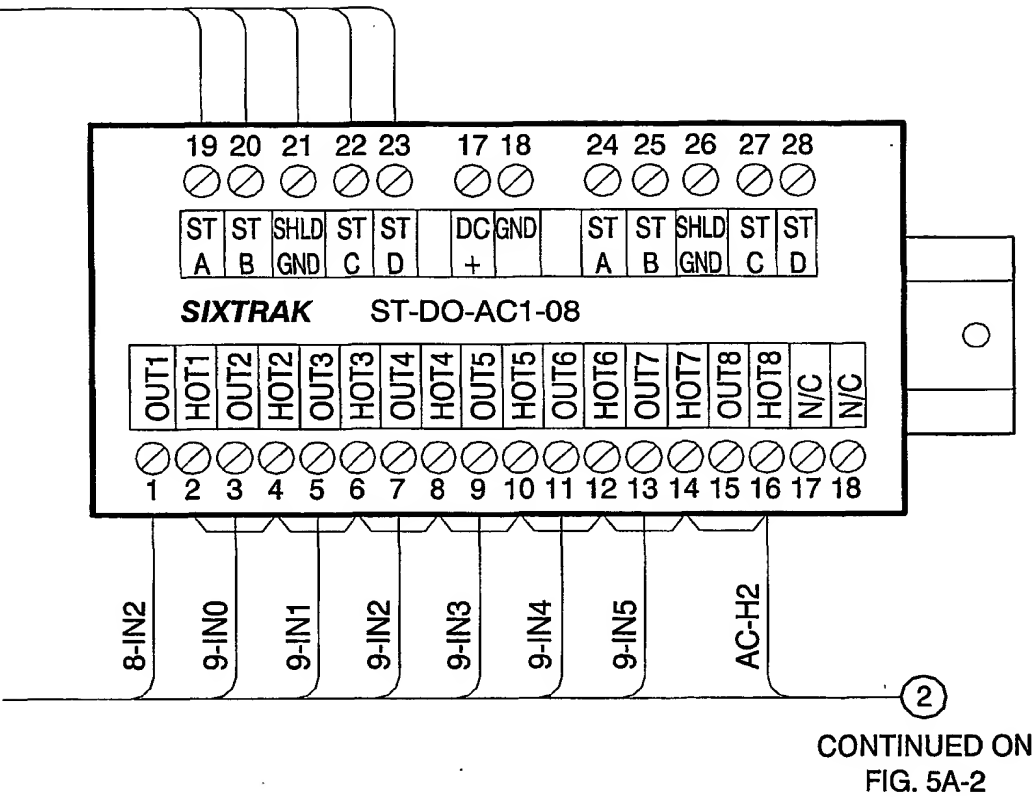


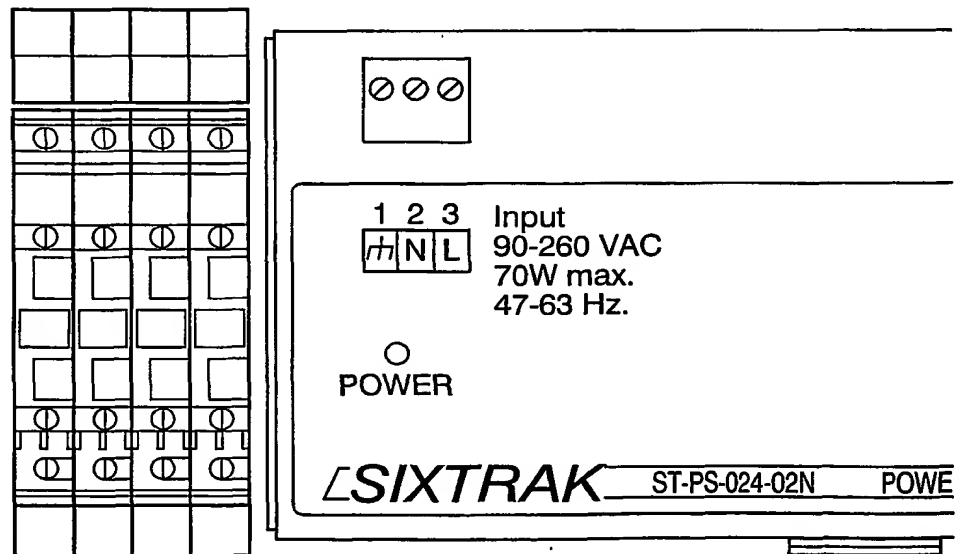
FIG. 5B-8

<i>FIG. 6-1</i>	<i>FIG. 6-2</i>	<i>FIG. 6-3</i>	<i>FIG. 6-5</i>
<i>FIG. 6-5</i>	<i>FIG. 6-6</i>	<i>FIG. 6-7</i>	<i>FIG. 6-8</i>

FIG. 6

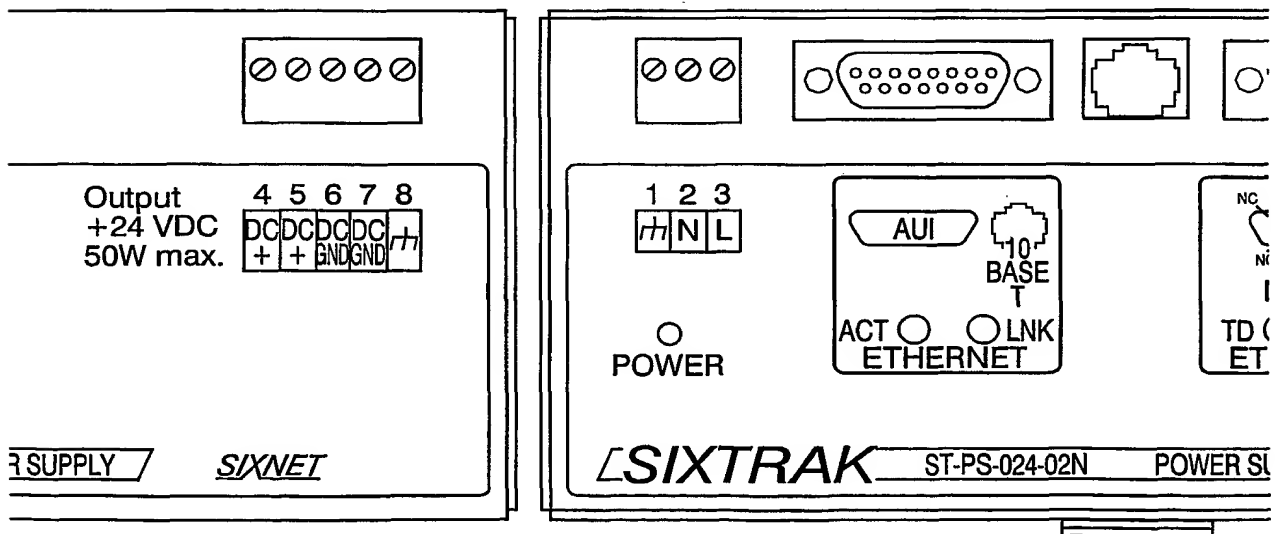
39/62

FIG. 6-1



40/62

FIG. 6-2



41/62

FIG. 6-3

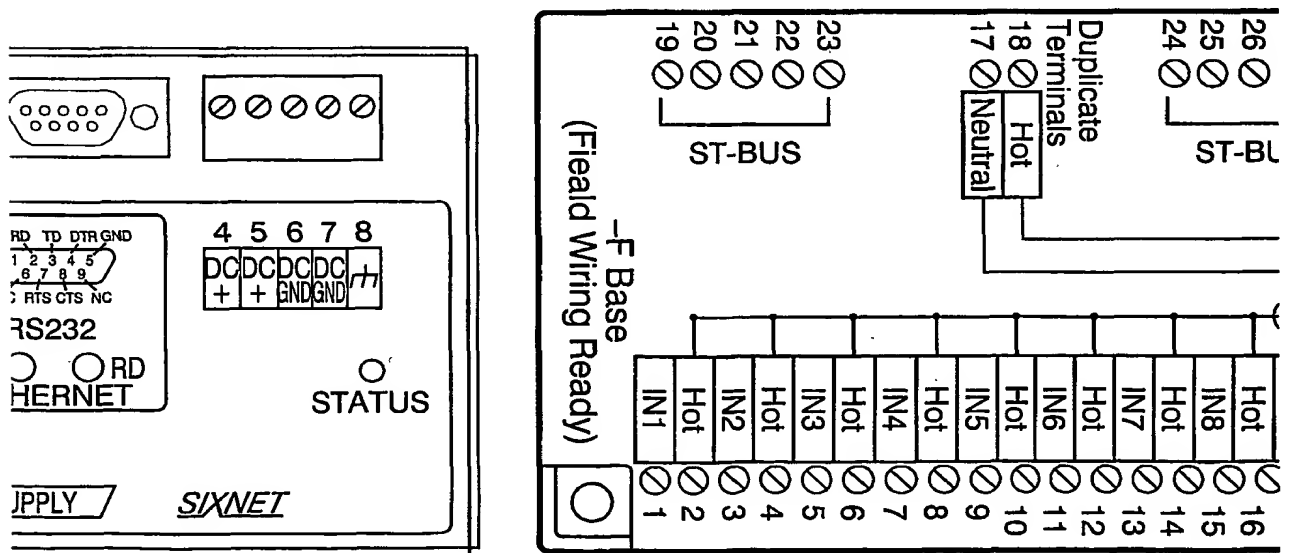
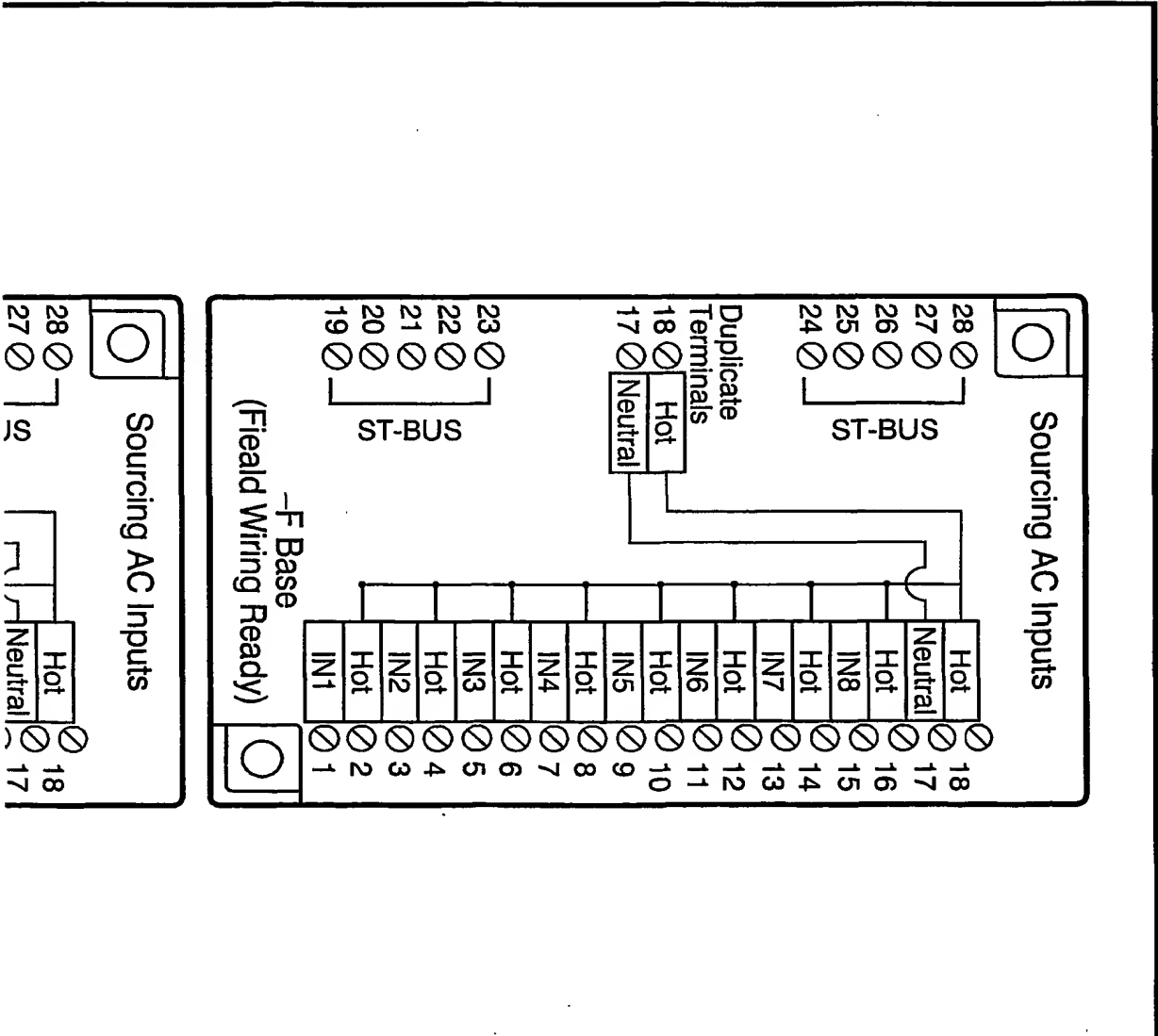


FIG. 6-4



43/62

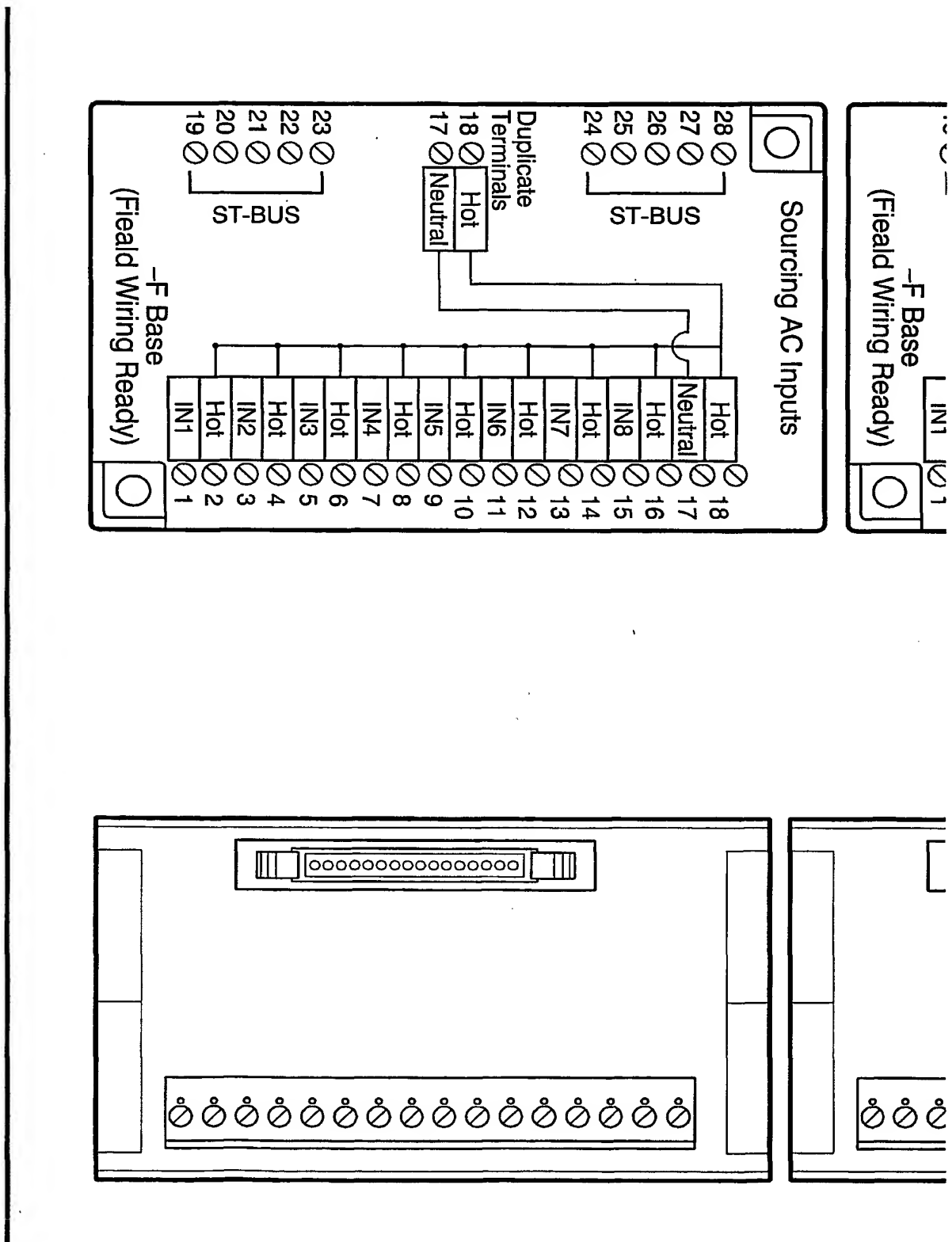


FIG. 6-5

45/62

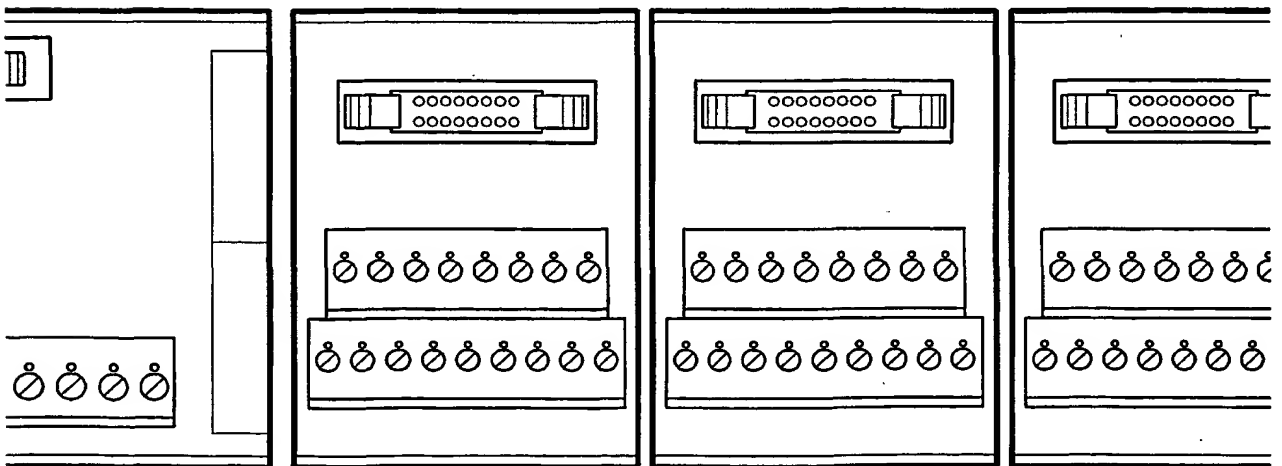
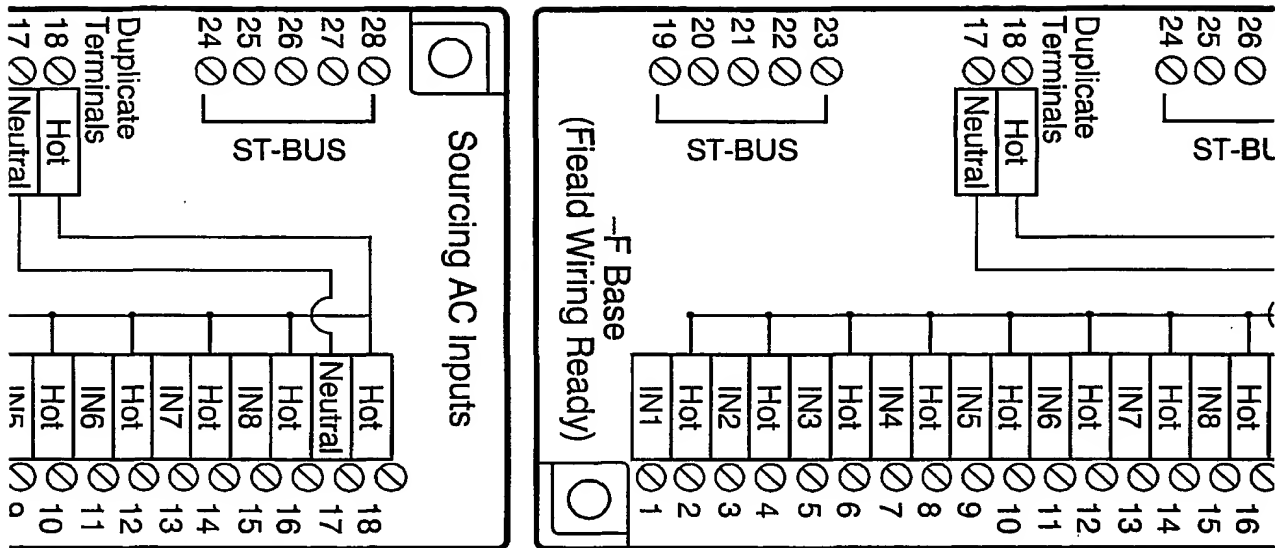


FIG. 6-7

46/62

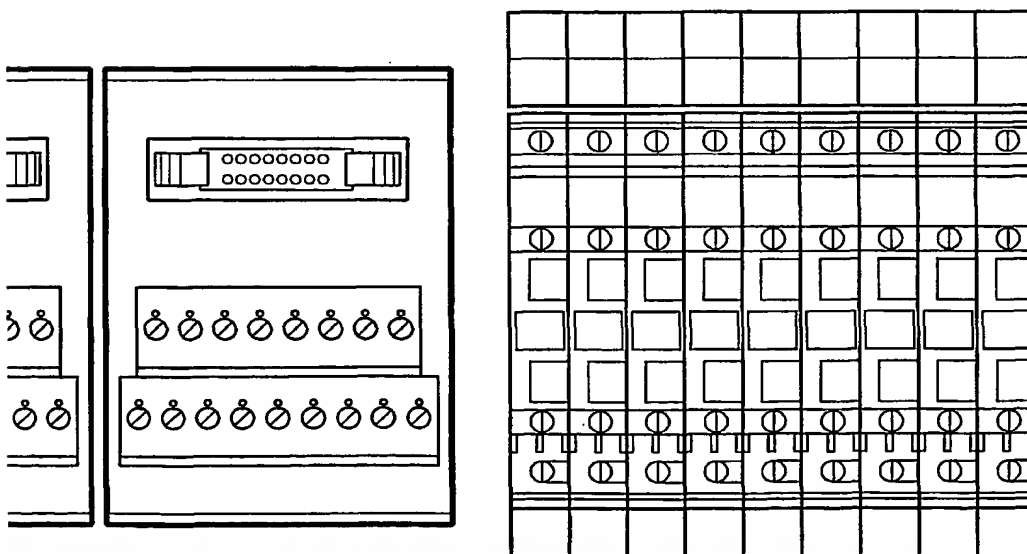
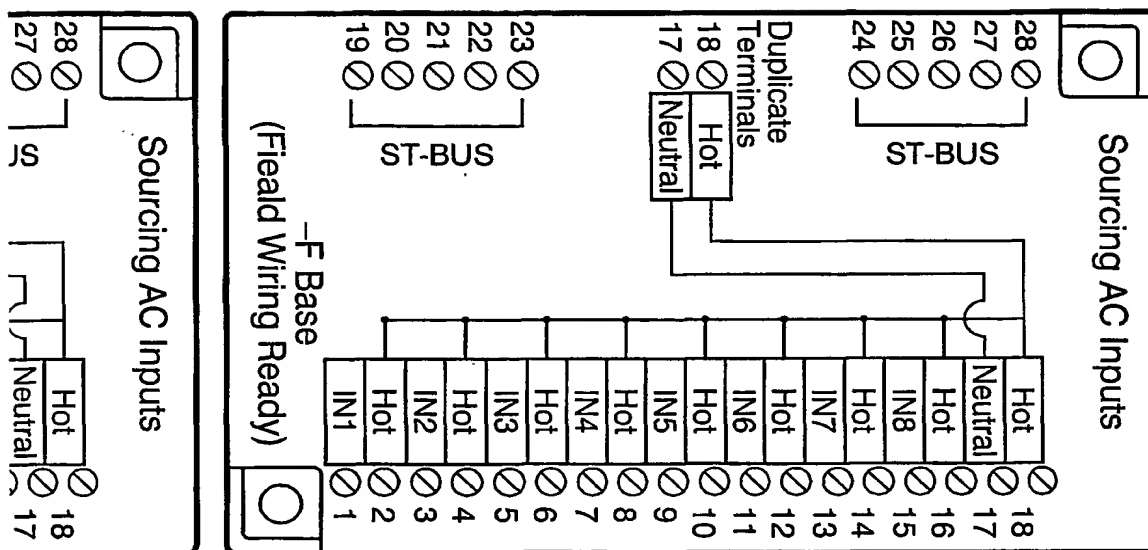


FIG. 6-8

47/62

FIG. 7

Select Test Section to Run

General	▲ ▼
Input Manifold	
Filtration	
Column Separation	
Output Manifold	

RUN TEST CANCEL

FIG. 8

Partially complete procedure: Select Starting Test Setup

<START FROM BEGINNING>		▲ ▼
1 I: Select PID test from test	Q: Was the test successfully	
2 I: Select Totalizer test fro	Q: Was the test successfully	
3 I: Select Indication test fr	Q: Was the test successfully	
4 I: Select Indication test fr	Q: Was the test successfully	

RUN TEST CANCEL

48/62

FIG. 9

Running Test...

Step 3.1

Select PID test from test module menu. Run test for FIC_2001_01.

DONE

Was the test successfully completed?

YES COMMENT NO

PREV STEP CURR STEP 1 of 8 NEXT STEP

Debug Reset Bit Set Bit CANCEL CLOSE

FIG. 10

Running Test...

Step 3.1

Select PID test from test module menu.
Run test for FIC_2001_01.

DONE

Was the test successfully completed?

YES COMMENT NO

PREV STEP CURR STEP 1 of 8 NEXT STEP

Debug Reset Bit Set Bit CANCEL CLOSE

50/62

FIG. 11

Running Test...

Step 3.2

Select Totalizer test from test module menu. Run test for FQIT_2001_01.

DONE

Was the test successfully completed?

YES COMMENT NO

PREV STEP CURR STEP 2 of 8 NEXT STEP

Debug Reset Bit Set Bit CANCEL CLOSE

51/62

TagName	Initial	LL_Limit	L_Limit	H_Limit	HH_Limit	Deadband
PT_2001_01	1				59.00	
PT_2001_02	1			40.00	42.00	
PT_2001_03	1	15.00			30.00	

FIG. 12

FIG. 13

Alm_Ind	Qnum	Type	Question
A1	1	H	Did the analog display symbol flash RED on screen?
A1	1	H_DB	Is the alarm still active?
A1	1	H_RTN	Did the HIGH level alarm clear?
D1	1	Dig	Did the alarm activate?
D1	1	Dig_RTN	Did the alarm reset?
A1	1	L	Did the analog display symbol flash RED on screen?
A1	1	L_DB	Is the alarm still active?
A1	1	L_RTN	Did the LOW level alarm clear?
A1	2	H	Did the menu button for the display screen flash?
A1	2	L	Did the menu button for the display screen flash?
A1	3	H	Did the alarm text appear on "CURRENT ALARMS"?
A1	4	H	Did the SCADA Alarm paging system receive the alarm? (F12for System

52/62

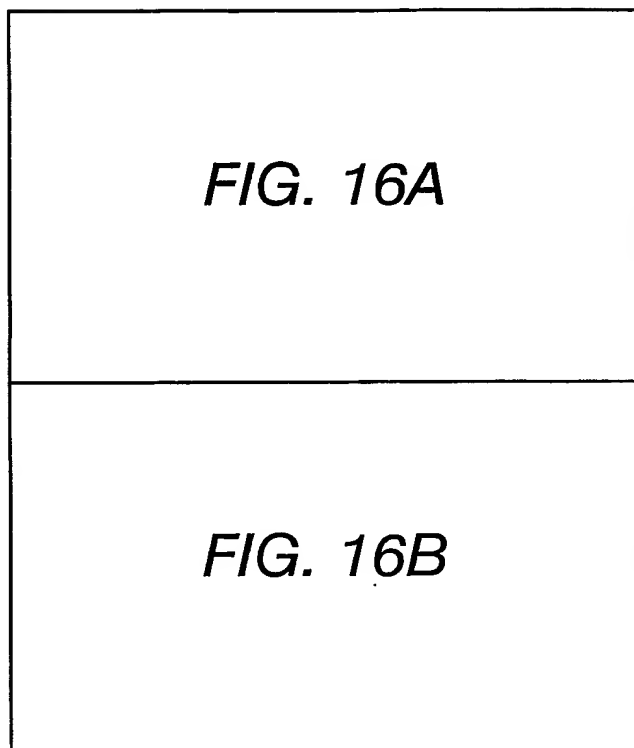
ID	TagName	Description	EUZero	EUMax	Eunit
	AIT_2001_01	Column Exit	2	12	pH
	AIT_2001_02	Column Exit	0	200	mmho
	AIT_2001_03	Column Exit	0	30	AU
	AIT_2001_04	Column Inlet	0	30	AU
	AIT_2001_05	Column Inlet	2	12	pH
	AIT_2001_06	Column Inlet	0	200	mmho
	PT_2001_01	Filter Inlet	0	60	psig
	PT_2001_02	Column Inlet	0	60	psig
	PT_2001_03	Column Exit	0	60	psig
	TT_2001_01	Feed	0	50	deg C
	TT_2001_02	Column Exit	0	50	deg C

FIG. 14

FIG. 15

PID_Number	Loop_Desc	Process_TagName	Min_EU	Max_EU	EU_Name	SetPoint_TagName	Control
1	Controls filter	dP_2001_02	0	5	V	PT_2001_02	dPC 20
2		FIT_2001_01	0	15	L/min	FIC_2001_01SP	FIC 20

53/62

FIG. 16**FIG. 17**

Num	Name
1	General
2	Input Manifold
3	Filtration
4	Column
5	Output

54/62

FIG. 16A

ID	SectionNum	SectionStep	Instruction	
	2	20	Click on "Test" button and select tag name XV_2001_105_ZCO and read	W
	2	21	From HMI open valve 106.	
	2	22	Click on "Test" button and select tag name XV_2001_106_ZCO and read	W
	2	23	From HMI open valve 106.	
	2	24	Click on "Test" button and select tag name XV_2001_106_ZCO and read	W
	2	25	From HMI open valve 107.	
	2	26	Click on "Test" button and select tag name XV_2001_107_ZCO and read	W
	2	27	From HMI close valve 107.	
	2	28	Click on "Test" button and select tag name XV_2001_107_ZCO and read	W
	2	29	From HMI open valve 108.	
	2	30	Click on "Test" button and select tag name XV_2001_108_ZCO and read	W
	2	31	From HMI close valve 108.	
	2	32	Click on "Test" button and select tag name XV_2001_108_ZCO and read	W
	2	33	From HMI open valve 109.	
	2	34	Click on "Test" button and select tag name XV_2001_109_ZCO and read	W
	2	35	From HMI close valve 109.	
	2	36	Click on "Test" button and select tag name XV_2001_109_ZCO and read	W
	2	37	From HMI open valve 110.	
	2	38	Click on "Test" button and select tag name XV_2001_110_ZCO and read	W
	2	39	From HMI close valve 110.	
	1	1	Instauction 1	Q
	2	1	From HMI open valve 101.	
	2	2	Click on "Test" button and select tag name XV_2001_101_ZCO and read	W
	2	3	From HMI close valve 101.	
	2	4	Click on "Test" button and select tag name XV_2001_101_ZCO and read	W
	2	5	From HMI open valve 102.	

55/62

	2	6 Click on "Test" button and select tag name XV 2001_102_ZCO and read	W
	2	7 From HMI close valve 102.	
	2	8 Click on "Test" button and select tag name XV 2001_102_ZCO and read	W
	2	9 From HMI open valve 103.	
	2	10 Click on "Test" button and select tag name XV 2001_103_ZCO and read	W
	2	11 From HMI close valve 103.	
	2	12 Click on "Test" button and select tag name XV 2001_103_ZCO and read	W
	2	13 From HMI open valve 104.	
	2	14 Click on "Test" button and select tag name XV 2001_104_ZCO and read	W
	2	15 From HMI close valve 104.	
	2	16 Click on "Test" button and select tag name XV 2001_104_ZCO and read	W
	2	17 From HMI open valve 105.	
	2	18 Click on "Test" button and select tag name XV 2001_105_ZCO and read	W
	2	19 From HMI close valve 105.	
	2	40 Click on "Test" button and select tag name XV 2001_110_ZCO and read	W
	3	1 Select PID test from test module menu. Run test for FQIT 2001_01.	W
	3	2 Select Totalizer test from test module menu. Run test for FQIT 2001_01.	W
	3	3 Select Indication test from test module menu. Run test for FQIT 2001_01.	W
	3	4 Select Indication test from test module menu. Run test for TT 2001_01.	W
	3	5 Select Indication test from test module menu. Run test for PT 2001_01.	W

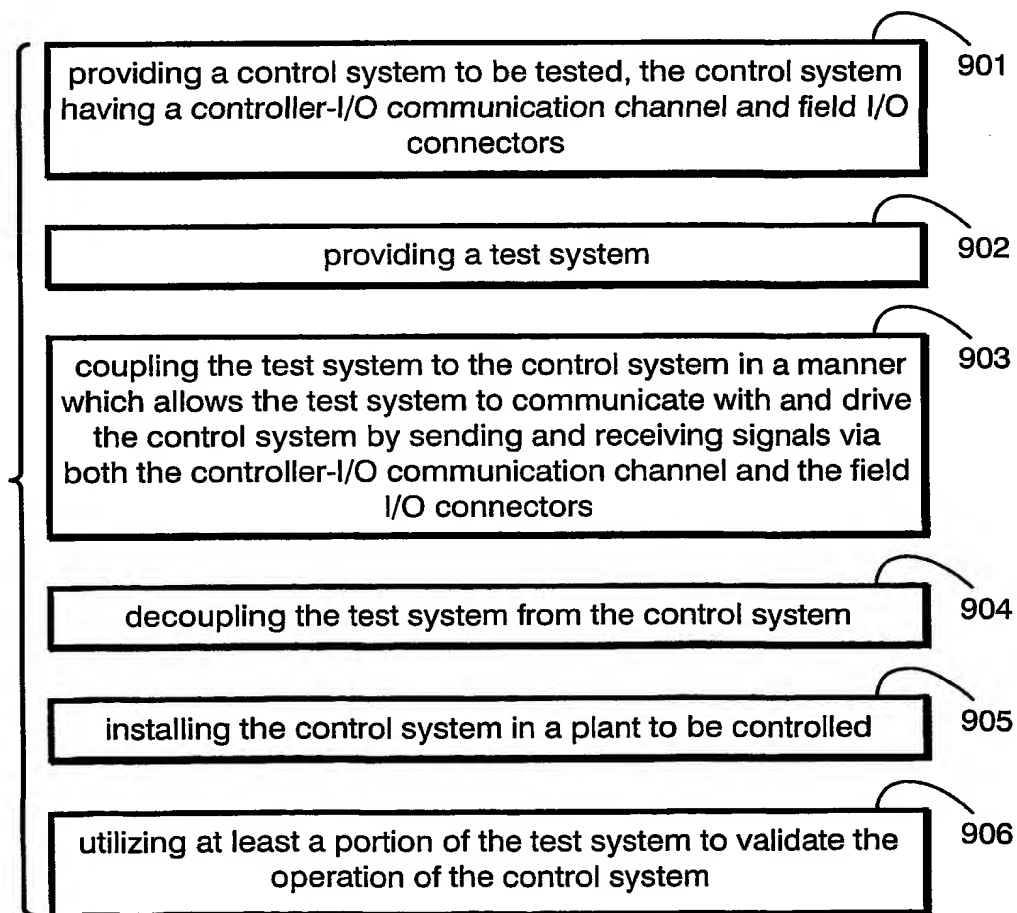
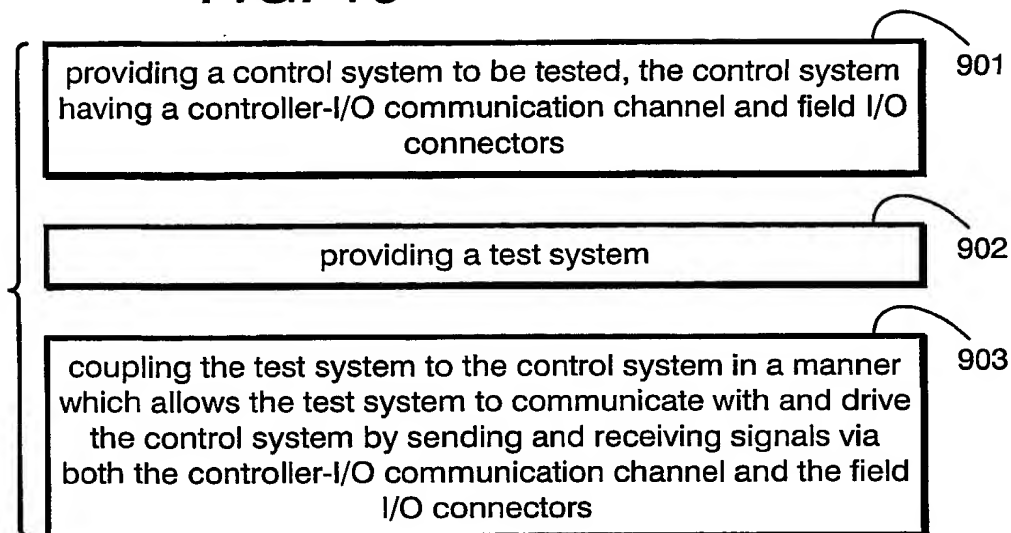
FIG. 16B

56/62

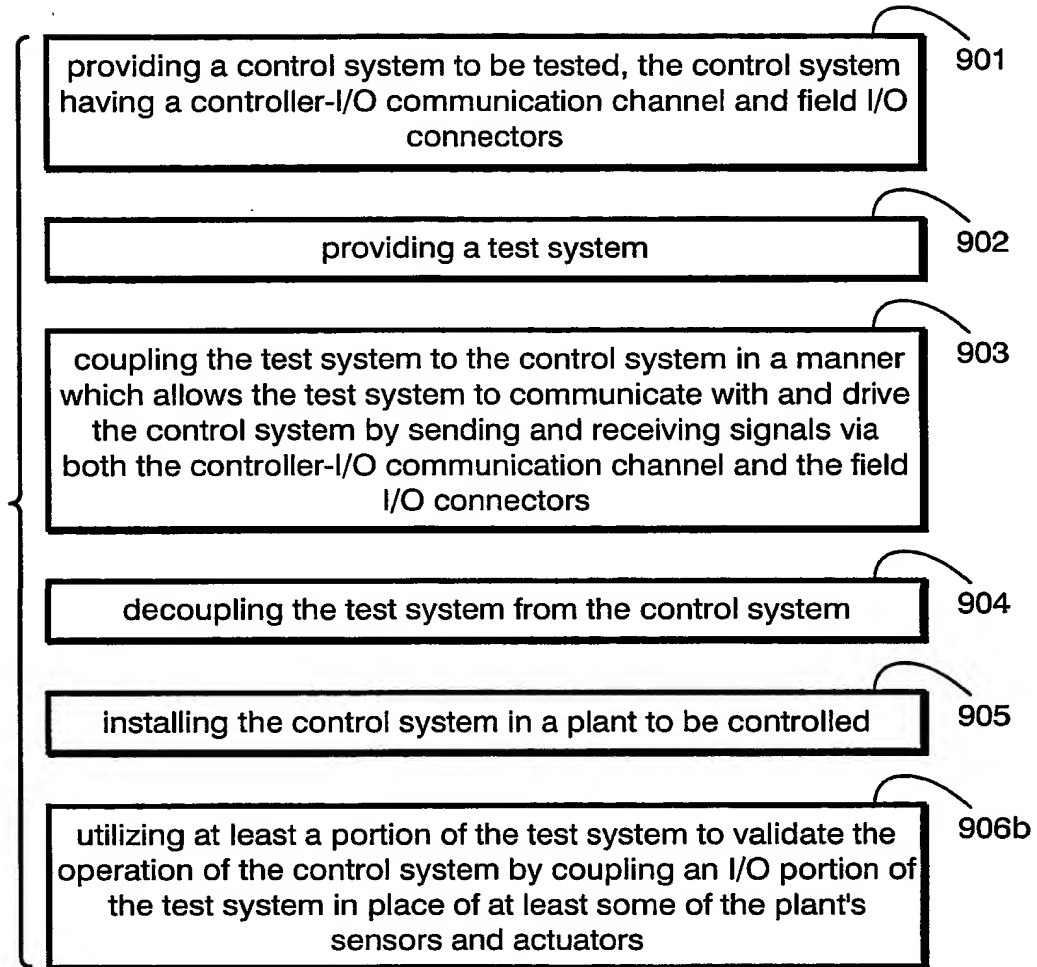
FIG. 18

ID	Section	Requirement	Question
	G.1	1	Security requirements related to hardware:
	G.1	2	Login requirements:
	G.1.2	1	Password protection required (Yes/No):
	G.1.2	2	Protection areas:
	G.1.2	3	Number of security levels:
	G.1.2	4	Security level names:
	G.1.2	5	Configurable levels (Yes/No):
	G.1.2	6	What can be configured?
	G.1.2	7	System restrictions:
	G.1.2	8	Other login requirements:
	G.2	1	PLC Specifications:
	G.2.1	1	Brand of PLC used:
	G.2.1	2	Type of PLC used:
	G.2.1	3	PLC quantity:
	G.2	2	Operating Conditions
	G.2.2	1	Ambient operating temperature range:
	G.2.2	2	Relative humidity range:
	G.2.2	3	RFI requirements:
	G.2.2	4	Other operating condition requirements:
	G.2	3	Hardware Requirements:
	G.2.3	1	Mounting requirements:
	G.2.3	2	Control network type:
	G.2.3	3	Access ports:
	G.2.3	4	I/O Network port required? (Yes/No)
	G.2.3	5	Other hardware requirements:
	G.2	4	PLC programming software:
	G.2	5	Electrical Specifications:
	G.2.5	1	Power supply:
	G.2.5	2	Electrical isolation requirements:
	G.2.5	3	Wiring requirements:
	G.2.5	4	Other electrical requirements:
	G.2	6	PLC Alarms:
	G.2.6	1	Hardware alarms:
	G.2.6	2	Software alarms:
	G.2.6	3	LED indications for diagnosis points required?
	G.2.6	4	Other PLC Alarms:
	G.2	7	Other PLC specific requirements:
	G.3	1	PC Specifications:
	G.3.1	1	Will a PC be used? (Yes/No)
	G.3.1	2	PC manufacturer preference:
	G.3.1	3	PC type (e.g., Tower, Desktop, etc.):
	G.3.1	4	PC processor type:
	G.3.1	5	RAM (MB) required:
	G.3.1	6	Number of PCs required:
	G.3	2	Laptop Specifications:
	G.3.2	1	Will a laptop be used? (Yes/No)

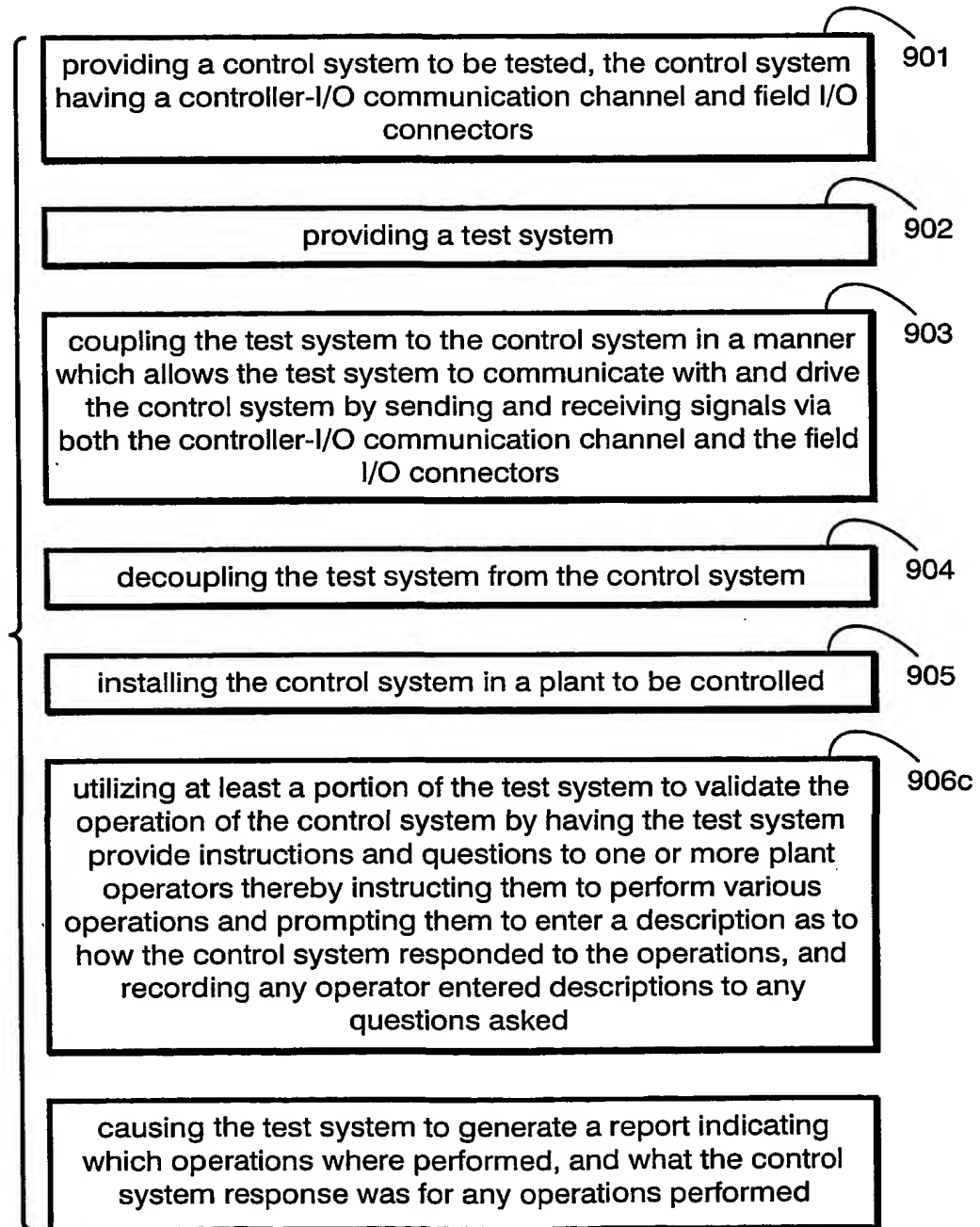
57/62

FIG. 19**FIG. 20**

58/62

FIG. 21

59/62

FIG. 22

60/62

FIG. 23

providing a plant control system to be tested, the control system comprising a controller, an I/O interface, a sensor input port, an actuator output port, a series of communication segments communicatively coupling the sensor input port to the controller such that an input signal applied to the sensor input results in one or more signals traveling along each of the communication segments so as to notify the controller of the applied input signal, and a series of communication segments communicatively coupling the controller to the actuator output port such that a control signal generated by the controller results in an output signal being applied to the actuator output port

911

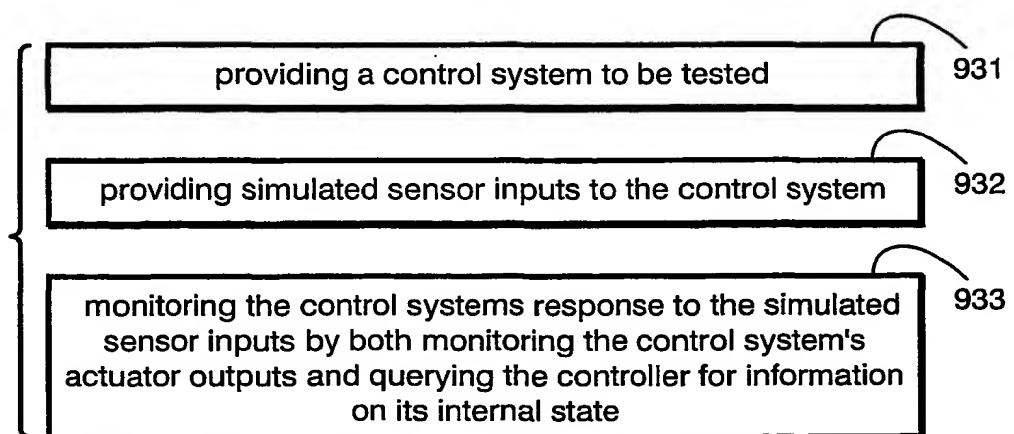
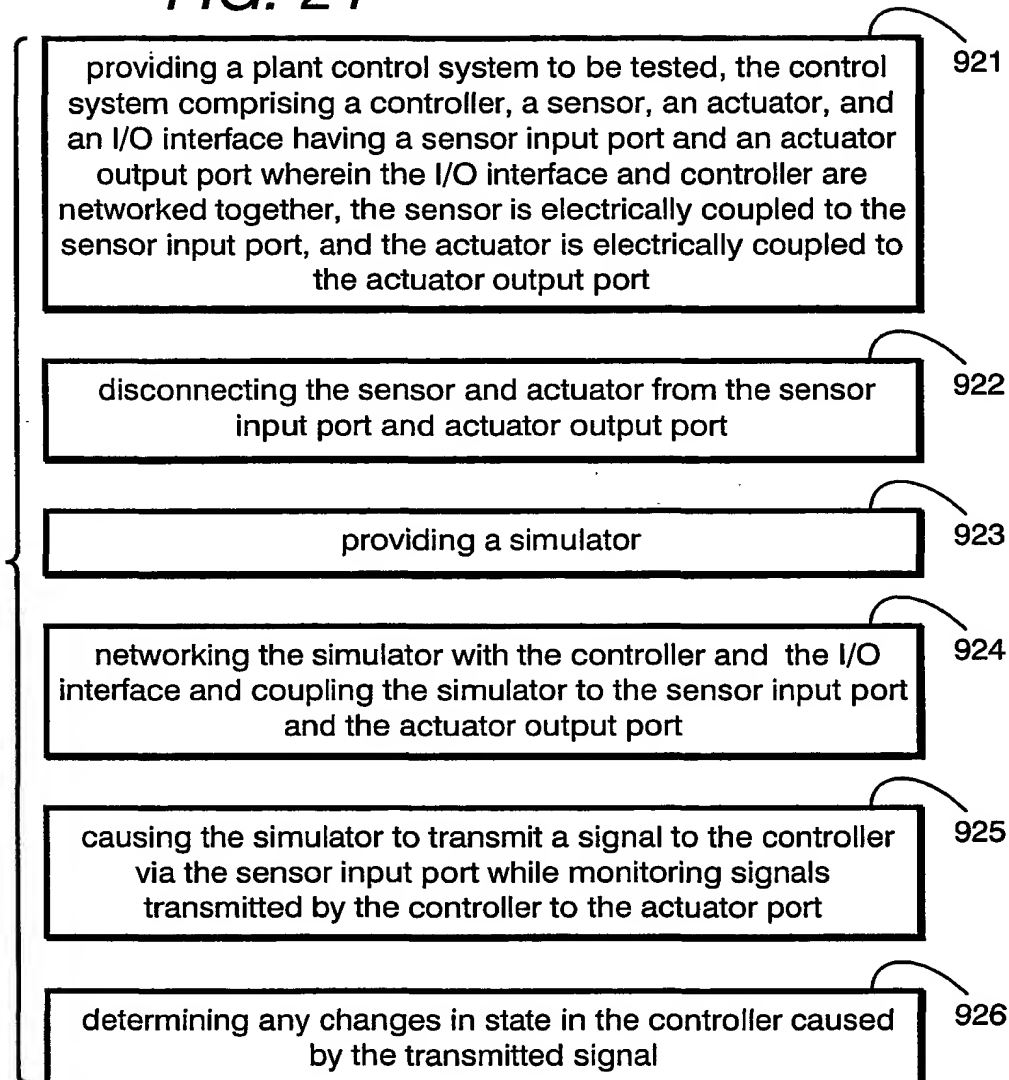
providing a simulator, the simulator coupled to the control system at at least three points, wherein a first point is the sensor input port, a second point is a controller-I/O interface network access point from which data relating to the internal state of the controller can be obtained, and a third point is the actuator output port

912

causing the simulator to apply a signal to the first point and to subsequently obtain data on the internal state of the controller and to monitor the actuator output port for any applied signals

913

61/62

FIG. 24**FIG. 25**

62/62

FIG. 26